#### ABBOTT WILLIAM R

Form 4 April 06, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| ABBOTT W        | Symbo              | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |                                  |                           |  | S. Relationship of Reporting Person(s) to Issuer        |                           |                            |                      |  |
|-----------------|--------------------|--|----------------------------------|---------------------------|--|---|---------------------------|----------------------------|----------------------|--|
|                 |                    | Cardı  | Cardiogenesis Corp /CA [CGCP.PK] |                           |  |   | (Check all applicable)    |                            |                      |  |
| (Last)          | (First) (          | Middle) 3. Date                                    | of Earliest Tr                   | ransaction                |  |   |                           |                            |                      |  |
|                 |                    | (Month   | (Month/Day/Year)                 |                           |  |   | Director 10% Owner        |                            |                      |  |
| 11 MUSICK       | 03/31              | /2010  |                                  |                           | X Officer (give title Other (specify below) Senior VP, CFO |   |                           |                            |                      |  |
|                 |                    |  |                                  |                           |  |   | 36                        | ilioi vr, cro              |                      |  |
|                 | (Street)           |  | nendment, Da                     | Č                         |  | 6. Individual or Joint/Group Filing(Check               |                           |                            |                      |  |
|                 |                    | Filed(N  | Ionth/Day/Year                   | r)                        |  | Applicable Line) _X_ Form filed by One Reporting Person |                           |                            |                      |  |
| IRVINE, CA      | A 92618            |  |                                  |                           |  |   | Form filed by M<br>Person | More than One Re           | porting              |  |
| (City)          | (State)            | (Zip) Ta   | ble I - Non-I                    | Derivative S              | Securi   | ties Acq  | uired, Disposed o         | f, or Beneficial           | ly Owned             |  |
| 1.Title of      | 2. Transaction Dat | e 2A. Deemed                                       | 3.                               | 3. 4. Securities Acquired |  |   | 5. Amount of              | 6. Ownership               | 7. Nature of         |  |
| Security        | (Month/Day/Year)   | <i>'</i>   |                                  | on(A) or Di               | _  |   | Securities                |                            | Indirect             |  |
| (Instr. 3)      |                    | any  | Code                             |                           |  |   | Beneficially              | (D) or                     | Beneficial           |  |
|                 |                    | (Month/Day/Yea                                     | r) (Instr. 8)                    |                           |  |   | Owned<br>Following        | Indirect (I)<br>(Instr. 4) | Ownership (Instr. 4) |  |
|                 |                    |  |                                  |                           |  |   | Reported                  | (Instr. 1)                 | (Instr. 1)           |  |
|                 |                    |  |                                  |                           | (A)  |   | Transaction(s)            |                            |                      |  |
|                 |                    |  | Code V                           | Amount                    | (D)  | Price   | (Instr. 3 and 4)          |                            |                      |  |
| Common<br>Stock | 03/31/2010         |  | F                                | 10,886<br>(1)             | D  | \$<br>0.38  | 66,038                    | D                          |                      |  |
|                 |                    |  |                                  |                           |  |   |                           |                            |                      |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ABBOTT WILLIAM R - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exerc            |                 | 7. Titl              |          | 8. Price of | 9. Nu    |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------------------|-----------------|----------------------|----------|-------------|----------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | onNumber Expiration Date |                 | Amou                 | unt of   | Derivative  | Deriv    |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/              | Year)           | Under                | lying    | Security    | Secui    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                        |                 | Securi               | ities    | (Instr. 5)  | Bene     |
|             | Derivative  |                     | •                  |            | Securities | 3                        |                 | (Instr.              | 3 and 4) |             | Owne     |
|             | Security    |                     |                    |            | Acquired   |                          |                 |                      |          |             | Follo    |
|             |             |                     |                    |            | (A) or     |                          |                 |                      |          |             | Repo     |
|             |             |                     |                    |            | Disposed   |                          |                 |                      |          |             | Trans    |
|             |             |                     |                    |            | of (D)     |                          |                 |                      |          |             | (Instr   |
|             |             |                     |                    |            | (Instr. 3, |                          |                 |                      |          |             | (2.11541 |
|             |             |                     |                    |            | 4, and 5)  |                          |                 |                      |          |             |          |
|             |             |                     |                    |            | i, and 3)  |                          |                 |                      |          |             |          |
|             |             |                     |                    |            |            |                          |                 |                      | Amount   |             |          |
|             |             |                     |                    |            |            | Date<br>Exercisable      | Expiration Date | or<br>Title Nu<br>of | or       |             |          |
|             |             |                     |                    |            |            |                          |                 |                      | Number   |             |          |
|             |             |                     |                    |            |            |                          |                 |                      | of       |             |          |
|             |             |                     |                    | Code V     | (A) (D)    |                          |                 |                      | Shares   |             |          |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ABBOTT WILLIAM R

11 MUSICK Senior VP, CFO

IRVINE, CA 92618

## **Signatures**

/s/ William R.

Abbott 04/06/2010

\*\*Signature of Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 33% of a restricted award vested on 3/31/10. A portion of the vested shares were withheld to pay taxes applicable to the vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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