Edgar Filing: WEAVER CONSTANCE K - Form 4

WEAVER CONSTANCE K

Form 4

November 09, 2009

FO	RI	V	_
----	----	---	---

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

2005

0.5

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires:

OMB APPROVAL

Estimated average

burden hours per response...

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WEAVER CONSTANCE K

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

HARTFORD FINANCIAL SERVICES GROUP INC/DE [HIG]

(Check all applicable)

(Last)

(First)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify

THE HARTFORD FINANCIAL

SERVICES GROUP, ONE

11/05/2009

below) Sr. Vice President

HARTFORD PLAZA

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Middle)

X Form filed by One Reporting Person Form filed by More than One Reporting

HARTFORD, CT 06155

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

Transaction(s)

Reported

Code V Amount Price (D)

(Instr. 3 and 4)

Restricted Stock Units

1,890.572

Restricted

D

Stock

5,300 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

1

Edgar Filing: WEAVER CONSTANCE K - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Se Acquired (A) Disposed of ((Instr. 3, 4, ar	curities or D)	6. Date Exercisab Date (Month/Day/Year	•	7. Title Underly (Instr. 3
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Stock Option	\$ 74.88				` ´	` ,	<u>(1)</u>	02/26/2018	Comn Stoc
Stock Option	\$ 7.04						(2)	02/25/2019	Comn Stoc
Restricted Units	(3)						02/25/2012(3)	02/25/2012(3)	Comn Stock
Restricted Units	<u>(4)</u>	11/05/2009		A	6,592.04		11/05/2012(4)	11/05/2012(4)	Comn Stock
Deferred Units	<u>(5)</u>	11/05/2009		A	1,071.033		11/05/2011(5)	11/05/2011(5)	Comn Stock
Deferred Units	<u>(5)</u>	11/05/2009		F(6)		22.186	(5)	<u>(5)</u>	Comn Stock

Reporting Owners

Reporting Owner Name / Address	Relationships				
reporting owner runter reduces	Director	10% Owner	Officer	Other	
WEAVER CONSTANCE K					
THE HARTFORD FINANCIAL SERVICES GROUP			Sr. Vice		
ONE HARTFORD PLAZA			President		
HARTFORD, CT 06155					

Signatures

/s/ Donald C. Hunt, POA for Constance K. Weaver by Power of Attorney of Constance K. Weaver dated February 25, 2008.

11/09/2009

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One-third of the option became exercisable on February 26, 2009, an additional one-third of the option will become exercisable on (1) February 26, 2010 and the remaining one-third of the option will become exercisable on February 26, 2011, the third anniversary of the grant date
- One-third of the option becomes exercisable on February 25, 2010, an additional one-third of the option becomes exercisable on February 25, 2011 and the remaining one-third of the option becomes exercisable on February 25, 2012, the third anniversary of the grant date.

Reporting Owners 2

Edgar Filing: WEAVER CONSTANCE K - Form 4

- (3) Each restricted unit will be settled in cash on the expiration date for an amount equal to the company's closing stock price on the New York Stock Exchange on the expiration date.
- Each restricted unit will be settled in cash as soon as practicable after, and in any event within 90 days after, the later to occur of (i)

 November 5, 2012 or (ii) the date the value of such restricted units can be paid in accordance with applicable law (the "Valuation Date").

 The cash settlement payable per unit shall be equal the closing stock price per share of the company's common stock on the Valuation Date as reported on the New York Stock Exchange.
- Each deferred unit will be settled in cash as soon as practicable after, and in any event within 90 days after, the second anniversary of the grant date for an amount equal to the company's closing stock price on the New York Stock Exchange on the settlement date. Deferred units are fully vested when credited.
- (6) Transaction involving a disposition to the company of deferred units in satisfaction of tax obligations in connection with the vesting of deferred units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.