

PEROT SYSTEMS CORP

Form 4

November 15, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DESOTO JORDAN**

(Last) (First) (Middle)

**2300 WEST PLANO PARKWAY**

(Street)

**PLANO, TX 75075**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**PEROT SYSTEMS CORP [PER]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**11/13/2006**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D) (Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V Amount (D) Price                                                      |                                                                                                                    |                                                                      |                                                                   |
| Common<br>Stock                       | 11/13/2006                              |                                                             | J <sup>(2)</sup>                     | 31,869 A <u>(3)</u>                                                     | 36,869                                                                                                             | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control  
number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

# Edgar Filing: PEROT SYSTEMS CORP - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                 |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-----------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                    | Date<br>Exercisable                                            | Expiration<br>Date                                                  | Title           | Amount<br>or<br>Number<br>of Shares |
| Forward<br>Contract/<br>Confirmations<br>(1)        | (2)                                                                   | 11/13/2006                              |                                                             | J(2)                                    | 68,131                                                                                                       | (2)                                                            | (2)                                                                 | Common<br>Stock | 68,131                              |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |         |       |
|-------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                             | Director      | 10% Owner | Officer | Other |
| DESOTO JORDAN<br>2300 WEST PLANO PARKWAY<br>PLANO, TX 75075 |               |           | X       |       |

## Signatures

By: Rex C. Mills For: Desoto S.  
Jordan

11/15/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On May 20, 2003, Desoto S. Jordan entered into a Pre-Paid Forward Purchase Agreement (the "Forward Contract") with Citigroup Global
- (1) Markets Inc. ("Citigroup"), pursuant to which Citigroup agreed to purchase from Mr. Jordan up to 550,000 shares of Class A Common Stock of Perot Systems Corporation (the "Shares").
- The actual number of Shares purchased by Citigroup will depend upon the price of the Shares on the Settlement Date (as defined below) (the "Reference Price") and the application of a formula (the "formula") to that Reference Price. The Forward Contract contemplates that, from time to time, the parties will enter into agreements specifying the details regarding the purchase and sale of the Shares (each, a
- (2) "Confirmation"). The Forward Contract provides that each Confirmation shall specify (i) the maximum number of Shares subject to such Confirmation (the "Share Base Amount"), (ii) the purchase price for the Shares (the "Purchase Price"), (iii) the date by which the Share Base Amount for such Confirmation must be pledged to Citigroup (the "Collateral Delivery Date"), and (iv) the settlement date for such Confirmation (the "Settlement Date").
- Under the terms of the Forward Contract, the parties entered into a Confirmation, dated November 13, 2003, covering a Share Base Amount of 100,000 of the Shares, with a Purchase Price of U.S. \$897,200.00. Collateral Delivery Date of November 18, 2003 and
- (3) Settlement Date of November 13, 2006. Pursuant to the Formula set forth in such Confirmation, Mr. Jordan delivered on the Settlement Date to Citigroup 68,131 Shares for the total Purchase Price of U.S. \$897,200.00. Upon the Settlement, Mr. Jordan retained 31,869 Shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.