Edgar Filing: HOECK GREGORY A - Form 4

HOECK GREGORY A

Form 4

December 18, 2002

FORM 4

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

X Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

1. Name and Add			me and Tic		P	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) 3009 Bunker Hi	of Reporting Person,					Statement for onth/Day/Year 17-2002	<u>X</u> O	Director			
Louisville, KY 4						f Amendment, te of Original onth/Day/Year)	7. ((X P 	President 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip)	T	able	I Non-E	Derivat	ive Sec	urities Acquired	, Dispose	ed of, or Bene	eficially Owned
1. Title of Security (Instr. 3)	action	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Trans action C (Instr. 8) Code	ode	4. Securitie (A) or Disp (Instr. 3, 4 Amount	osed o		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s) (Instr. 3 & 4)		6. Owner- ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock								(msu. 3 & 4)	2,000	D	
Common Stock									248.743	I	ESOP Shares
Common Stock								,	928.1484	I	401k Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: HOECK GREGORY A - Form 4

Security (Instr. 3)	Price of Derivative Security	(Month/ Day/	if any (Month/	action Code (Instr. 8)	Secu Acqu (A) o	vative urities uired or oosed O)	(Month/Day/		Underlying Securities (Instr. 3 & 4)		Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)		Beneficial Ownershij (Instr. 4)
				Code V	_			Expira- tion Date		Amount or Number of Shares			(Instr. 4)	
Option (Right to Buy)	23.9375						(1)	4/20/09	Common Stock	2,600		2,600	D	
Option (Right to Buy)	21.00						(2)	1/7/10	Common Stock	2,600		2,600	D	
Option (Right to Buy)	20.63						(3)	12/21/10	Common Stock	3,400		3,400	D	
Option (Right to Buy)	33.60						(4)		Common Stock	3,250		3,250	D	
Option (Right to Buy)	39.10	12/17/02		A	2,50	0	(5)	12/17/12	Common Stock	2,500		2,500	D	

Explanation of Responses:

(1) Vest 20% per year begin	าทาทฐ	: 4/20/2000)
-----------------------------	-------	-------------	---

(5) Vest 20% per year beginning 12/17/03

By: /s/ //Gregory A. Hoeck

12/18/2002 Date

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

⁽²⁾ Vest 20% per year beginning 1/7/01

⁽³⁾ Vest 20% per year beginning 12/21/01

⁽⁴⁾ Vest 20% per year begining 12/27/02

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).