Mc Carthy Liam Form 4 July 21, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Mc Carthy Liam

(Last)

(City)

1.Title of

Security

(Instr. 3)

Common

Common

Stock

Stock

(First) (Middle)

(Zip)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

205 CROSSPOINT PARKWAY

(Street)

(State)

07/18/2017

GETZVILLE, NY 14068

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

3. Date of Earliest Transaction (Month/Day/Year)

07/18/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities 3.

TransactionAcquired (A) or Code

Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Issuer

below)

Person

5. Amount of

Securities

Owned

Beneficially

Following

X\_ Director

Applicable Line)

Officer (give title

Code V Amount (D) Price

31,974

755 (1) A M \$0 32,729

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

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**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

> 10% Owner Other (specify

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Form: Direct

Indirect (I)

(Instr. 4)

(D) or

D

D

0.5

Estimated average burden hours per

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Check all applicable)

#### Edgar Filing: Mc Carthy Liam - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	07/18/2017		M		755.327	(3)	(3)	Common Stock	1,510.654

#### **Reporting Owners**

#### Relationships

Mc Carthy Liam 205 CROSSPOINT PARKWAY X GETZVILLE, NY 14068

# **Signatures**

Mary C. O'Connor, Power of Attorney for Liam
McCarthy

07/21/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 755.3270 restricted stock units became fully vested on July 18, 2017, of which 755 shares were issued as common stock and .3270 was issued in cash of \$8.37.
- (2) Each restricted stock unit represents a contingent right to receive one (1) share of Columbus McKinnon Corporation common stock.
- (3) 755.3270 restricted stock units vested on July 18, 2017 and the remaining 755.3272 units become fully vested and non-forfeitable 50% on July 18, 2018 and 50% on July 18, 2019, if reporting person remains a director of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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