

Empire State Realty Trust, Inc.
Form 8-K
June 02, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 31, 2016

EMPIRE STATE REALTY TRUST, INC.

(Exact Name of Registrant as Specified in its Charter)

Maryland
(State or other Jurisdiction

of Incorporation)

001-36105
(Commission

File Number)

37-1645259
(I.R.S. Employer

Identification No.)

One Grand Central Place

60 East 42nd Street

New York, New York
(Address of Principal Executive Offices)

10165
(Zip Code)

Registrant's telephone number, including area code: (212) 687-8700

n/a

(Former name or former address, if changed from last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07. Submission of Matters to a Vote of Security Holders.

(a) The 2016 Annual Stockholders Meeting of Empire State Realty Trust, Inc. (the Company) was held on May 31, 2016.

(b) The Class A and Class B Common Stockholders of the Company (i) elected all of the Company's nominees for director, (ii) approved, on a non-binding advisory basis, the compensation of the Company's named executive officers, and (iii) ratified the selection of Ernst & Young LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2016. The results of the meeting were as follows:

(i) Election of Directors:

| Nominees | For | Withheld | Broker Non-Votes |
|----------------------|-------------|-----------------|-------------------------|
| Anthony E. Malkin | 134,390,797 | 3,831,214 | 7,956,966 |
| William H. Berkman | 137,124,574 | 1,097,437 | 7,956,966 |
| Alice M. Connell | 137,124,807 | 1,097,204 | 7,956,966 |
| Thomas J. DeRosa | 137,127,149 | 1,094,862 | 7,956,966 |
| Steven J. Gilbert | 136,095,199 | 2,126,812 | 7,956,966 |
| S. Michael Giliberto | 137,121,894 | 1,100,117 | 7,956,966 |
| James D. Robinson IV | 136,384,449 | 1,837,562 | 7,956,966 |

(ii) Approval, on a non-binding advisory basis, of the compensation of the named executive officers:

| For | Against | Abstained | Broker Non-Votes |
|-------------|----------------|------------------|-------------------------|
| 132,949,378 | 4,151,410 | 1,121,223 | 7,956,966 |

(iii) Ratification of the Appointment of Ernst & Young LLP as the independent registered public accounting firm for the fiscal year ending December 31, 2016:

| For | Against | Abstained |
|-------------|----------------|------------------|
| 145,555,815 | 408,702 | 214,460 |

With respect to the preceding matters, holders of Class A common stock were entitled to one vote per share, and holders of Class B common stock were entitled to 50 votes per share, so long as such Class B common stockholder continued to own 49 operating partnership units in Empire State Realty OP, L.P. for each such share of Class B common stock. Holders of Class A common stock and Class B common stock voted together as a single class on the matters covered at the meeting, and their votes were counted and totaled together.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EMPIRE STATE REALTY TRUST, INC.

(Registrant)

Date: June 2, 2016

By: /s/ Thomas N. Keltner, Jr.

Name: Thomas N. Keltner, Jr.

Title: Executive Vice President & General Counsel