SMITH & NEPHEW PLC Form 6-K February 01, 2016

# SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

February 01, 2016

Commission File Number 001-14978

SMITH & NEPHEW plc (Registrant's name)

15 Adam Street London, England WC2N 6LA (Address of registrant's principal executive offices)

[Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.]

Form 20-F X Form 40-F

[Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1).]

Yes No X

[Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7).]

Yes No X

[Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing information to the Commission pursuant to Rule 12g3-2 (b) under the Securities Exchange Act of 1934.]

Yes No X

1

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2 (b): 82- n/a.

#### **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: 2001 UK Approved Share Option Scheme

Period of return: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 412,392

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any

increase has been applied for):

Less: Number of securities issued/allotted under 10,500

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 401,892

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

**BLOCK LISTING SIX MONTHLY RETURN** 

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: 2001 UK Unapproved Share Option Scheme
Period of return: From: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 327,232

previous return:

Plus: The amount by which the block scheme(s) has 0 been increased since the date of the last return (if any

increase has been applied for):

Less: Number of securities issued/allotted under 180,900

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 146,332

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

#### **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc Name of scheme: 2001 US Share Plan

Period of return: From: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 449,228

previous return:

Plus: The amount by which the block scheme(s) has been increased since the date of the last return (if any

increase has been applied for):

Less: Number of securities issued/allotted under 209,193

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 240,035

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

# SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

# **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: International Employees Share Option Scheme
Period of return: From: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 148,920

previous return:

Plus: The amount by which the block scheme(s) has 0 been increased since the date of the last return (if any

increase has been applied for):

Less: Number of securities issued/allotted under 27,989

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 120,931

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

#### **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: UK Employee Share Option Scheme

Period of return: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 217,913

previous return:

Plus: The amount by which the block scheme(s) has 0 been increased since the date of the last return (if any

increase has been applied for):

Less: Number of securities issued/allotted under 86,777

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 131,136

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

#### **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: 2004 Executive Share Option Plan

Period of return: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 438,954

previous return:

Plus: The amount by which the block scheme(s) has 0 been increased since the date of the last return (if any increase has been applied for):

0

Less: Number of securities issued/allotted under

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet 438,954

issued/allotted at end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

#### **BLOCK LISTING SIX MONTHLY RETURN**

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: Global Share Plan 2010

Period of return: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 1,709,298

previous return:

Plus: The amount by which the block scheme(s) has been 1,000,000

increased since the date of the last return (if any increase has

been applied for):

Less: Number of securities issued/allotted under scheme(s) 668,951

during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at 2,040,347

end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

#### BLOCK LISTING SIX MONTHLY RETURN

Date: 1 February 2016

Name of applicant: Smith & Nephew plc

Name of scheme: Smith & Nephew ShareSave Plan (2012)

Period of return: 1 August 2015 To: 31 January 2016

Balance of unallotted securities under scheme(s) from 303,827

previous return:

Plus: The amount by which the block scheme(s) has been 300,000

increased since the date of the last return (if any increase

has been applied for):

Less: Number of securities issued/allotted under 285,479

scheme(s) during period (see LR3.5.7G):

Equals: Balance under scheme(s) not yet issued/allotted at 318,348

end of period:

Name of contact: Vickie Reuben Telephone number of contact: 020 7401 7646

SIGNED BY Vickie Reuben, Deputy Company Secretary

for and on behalf of

Smith & Nephew plc

**BLOCK LISTING SIX MONTHLY RETURN** 

Date: 1 February 2016

Name of Smith & Nephew

applicant: plc

Name of scheme: Smith & Nephew

International ShareSave Plan

(2012)

Period of

return: 97.15% 8,453,566 2.85% approved

Agenda item 7

(Approval of a settlement agreement with a former member of the Managing Board)

Board) 295,887,429 33.59% 290,363,034 98.13% 5,524,395 1.87% approved

(Approval of the Spin-off and Transfer Agreement between

Agenda item 8

between Siemens AG and OSRAM Licht

AG) 295,537,031 33.55% 290,255,776 98.21% 5,281,255 1.79% approved

<sup>\*</sup> Equals the number of shares for which valid votes have been cast

#### **Dividend announcement**

#### WKN 723610 / ISIN DE0007236101

The Annual Shareholders Meeting on January 23, 2013 resolved that the unappropriated net income for the fiscal year ended September 30, 2012 amounting to 2,643,000,000.00 shall be used to pay a dividend of 3.00 on each no par value share entitled to receive a dividend. The amount attributable to the treasury stock held by the Company on the date of the Annual Shareholders Meeting will be carried forward.

The dividend will be paid from January 24, 2013 onward, in general after deduction of 25% withholding tax and a 5.5% solidarity surcharge on the withholding tax (totaling 26.375%) and, if applicable, church tax on the withholding tax. Payment of the dividend will be effected by the depository banks via Clearstream Banking AG.

The withholding tax and the solidarity surcharge will not be deducted from payments to domestic shareholders who have submitted to their depositary bank either a non-assessment certification ( *Nichtveranlagungsbescheinigung* ) or a tax exemption form ( *Freistellungsauftrag* ) with sufficient exemption volume.

In the case of foreign shareholders, the withholding tax, including the solidarity surcharge, may be reduced on submission of an application for refund in accordance with the applicable double taxation treaty between the Federal Republic of Germany and the respective country.

Berlin and Munich, January 2013

#### Siemens Aktiengesellschaft

The Managing Board

The above announcement, appearing in the German Electronic Federal Gazette, is prepared for the convenience of English-speaking readers and is a translation of the German original. For purposes of interpretation the German text shall be authoritative and final.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

# SIEMENS AKTIENGESELLSCHAFT

Date: January 24, 2013 /s/ Dr. Frank Schieffer
Name: Dr. Frank Schieffer

Title: Senior Counsel - Corporate/Capital Markets

/s/ Dr. Tanja Koehler Name: Dr. Tanja Koehler

Title: Senior Counsel - Corporate/Capital Markets