OKARMA THOMAS

Form 4 September 09, 2002

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

(Print or Type Responses)

Name and Address of Reporting Pers Okarma, Thomas B.		suer Name and on Corporation		6. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner X Officer (give title below) Other (specify below) President and CEO					
(Last) (First) (Middle) c/o Geron Corporation 230 Constitution Drive (Street) Menlo Park, CA 94025	of Re	R.S. Identificati eporting Person entity (volunta	ı,	9/5/ 5. If	TAmendment, e of Original onth/Day/Year)	7. Individua (Check App <u>X</u> Form file Person _ Form file	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)		Table I No	n-Derivati	ve Secu	rities Acquired,	Disposed of, or B	Beneficially Owned		
(Instr. 3) action action Date (Instr. 3)	rans- on Code tr. 8)	()		(A) or	5. Amount of Securities Beneficially Owned at End of Month	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Tour)			or (D)		(Instr. 3 & 4)	(IIIsu: +)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over) SEC 1474

(3-99)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

	T				5, 000115				1			1		
	2. Conver-		4.		5. Numb	er	Date Exerc		7. Title a				10.	11. Nature
Derivative			Trans				and Expiration		Amount of		Derivative			of Indirect
Security	Excercise	Date	actior	1					Underlying		Security	Derivative		Beneficial
	Price of		Code		Securitie	S	(Month/Day/		Securities	S	(Instr. 5)	Securities	Form	Ownership
(Instr. 3)	Derivative	(Month/			Acquired	l	Year)		(Instr. 3 &	& 4)		Beneficially	of Deriv-	(Instr. 4)
	Security	Day/	(Instr		(A) or							Owned at	ative	
		Year)	8)		Disposed	l of						End of	Security:	
					(D)							Month	Direct	
												(Instr. 4)	(D)	
					(Instr. 3,	4						(or	
					& 5)								Indirect	
			Code	_		(D)	Date	Expira-	Title	Amount	1		(I)	
			Couc	ľ	(11)	(D)	Exer-cisable		Title	or			(Instr. 4)	
							LACI-CISADIC	Date		Number				
								Date		of				
										Shares				
Employee	\$9.22	6/27/02	Α	V	60,000		(1)	6/27/12	Common			920,000	D	
Stock	\$0.23	0/2//02	A	ľ	00,000		<u> </u>	0/2//12	Stock	00,000		920,000	L D	
Option									Stock					
(right to														
buy)														
	¢2.76	9/5/02	Α	H	245,000		(2)	0/5/12	Common	245,000		1 165 000	D	
Employee	\$3.70	9/3/02	Α		245,000		(2)			245,000		1,165,000	D	
Stock									Stock					
Option														
(right to														
buy)				-										
			1	H										
				L										
			•				•				•	•		

Explanation of Responses:

⁽²⁾ The option is exercisable in a series of 48 consecutive monthly installments commencing September 5, 2002 provided the optionee continues to provide services to the company.

Thomas B. Okarma by Olivia Bloom	<u>09/09/02</u>
**Signature of Reporting Person	Date

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

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⁽¹⁾ The option is exercisable in a series of 48 consecutive monthly installments commencing January 1, 2002 provided the optionee continues to provide services to the company.

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