Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 3

INTERNATIONAL BUSINESS MACHINES CORP

Form 3

January 13, 2014

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

Reporting Person

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * PICCIANO ROBERT J	2. Date of Event Requiring Statement (Month/Day/Year)	INTERNATIONAL BUSINESS MACHINES CORP			
(Last) (First) (Middle)	01/01/2014	[IBM] 4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Origina Filed(Month/Day/Year)		
IBM CORPORATION, 294 ROUTE 100		(Check all applicable)			
(Street)		Director 10% Owner Officer Other (give title below) (specify below)	6. Individual or Joint/Group Filing(Check Applicable Line) X Form filed by One Reporting		
SOMERS, NY 10589		Senior Vice President	Person Form filed by More than One		

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5)

Â Common Stock D 26,995.605

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	--	---	---	---

Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Rst. Stock Unit	(1)	(1)	Common Stock	8,772	\$ 0	D	Â
Rst. Stock Unit	(2)	(2)	Common Stock	2,476	\$ 0	D	Â
Rst. Stock Unit	(3)	(3)	Common Stock	10,583	\$ 0	D	Â
Rst. Stock Unit	(4)	(4)	Common Stock	7	\$ 0	I (5)	spouse

Reporting Owners

Reporting Owner Name / Address	Relationships				
1 8	Director	10% Owner	Officer	Other	
PICCIANO ROBERT J IBM CORPORATION 294 ROUTE 100 SOMERS, NY 10589	Â	Â	Senior Vice President	Â	

Signatures

D. Cummins on behalf of R.J. Picciano 01/13/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 21, 2014.
- (2) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 18, 2017.
- (3) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 17, 2018.
- (4) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 1, 2015.
- (5) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2