

Newlands William A
Form 4
May 10, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Newlands William A

(Last) (First) (Middle)

BEAM INC., 510 LAKE COOK ROAD

(Street)

DEERFIELD, IL 60015

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BEAM INC [NYSE: BEAM]

3. Date of Earliest Transaction (Month/Day/Year)
05/08/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

SVP & President, NA

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	Price	
Common Stock, par value \$3.125	05/08/2013		M ⁽¹⁾		9,007	A \$ 47.3192	9,919 ⁽³⁾ D
Common Stock, par value \$3.125	05/08/2013		S		9,007	D \$ 67.5429 ⁽²⁾	912 ⁽³⁾ D
Common Stock, par value \$3.125	05/09/2013		M ⁽¹⁾		15,300	A \$ 47.3192	16,212 ⁽³⁾ D

Edgar Filing: Newlands William A - Form 4

Common Stock, par value \$3.125	05/09/2013	S	1,000	D	\$ 67.5049 <u>(4)</u>	15,212 ⁽³⁾	D
Common Stock, par value \$3.125	05/09/2013	S	14,300	D	\$ 67.262 <u>(5)</u>	912 ⁽³⁾	D
Common Stock, par value \$3.125	05/10/2013	M ⁽¹⁾	2,504	A	\$ 47.3192	3,416 ⁽³⁾	D
Common Stock, par value \$3.125	05/10/2013	S	2,504	D	\$ 67.3589 <u>(6)</u>	912 ⁽³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Buy)	\$ 47.3192	05/08/2013		M ⁽¹⁾	9,007	09/29/2011	09/29/2015	Common Stock	9,007
Stock Option (Right to Buy)	\$ 47.3192	05/09/2013		M ⁽¹⁾	15,300	09/29/2011	09/29/2015	Common Stock	15,300
Stock Option (Right to	\$ 47.3192	05/10/2013		M ⁽¹⁾	2,504	09/29/2011	09/29/2015	Common Stock	2,504

Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Newlands William A BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015			SVP & President, NA	

Signatures

Leslie W. Jensen, Attorney-in-Fact for William A.
Newlands

05/10/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects the exercise of stock options issued under Beam's Long-Term Incentive Plan.

(2) The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging from \$67.50 to \$67.89, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each price point within the ranges set forth in this footnote.

(3) Includes 912 shares acquired under the Beam Employee Stock Purchase Plan.

(4) The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging from \$67.50 to \$67.52, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each price point within the ranges set forth in this footnote.

(5) The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging from \$67.25 to \$67.32, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each price point within the ranges set forth in this footnote.

(6) The price in column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices ranging from \$67.25 to \$67.51, inclusive. The Reporting Person undertakes to provide to the SEC, Beam and any security holder, upon request, full information regarding the number of shares sold at each price point within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.