# Edgar Filing: TA IX LP - Form 4

TA IX LP

Form 4 July 28, 20	00										
FOR									OMB AF	PROVAL	
	UNITED	STATES			AND EX 1, D.C. 20		NGE CO	MMISSION	OMB Number:	3235-0287	
	this box nger								Expires:	January 31, 2005	
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExpires: Estimated average burden hours per responseFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940Expires:									verage		
(Print or Type	e Responses)										
TA ASSOCIATES INC Symbol					d Ticker of		Is	Relationship of Reporting Person(s) to suer			
(Last)	(First) (			-	Fransaction			(Check all applicable)			
				n/Day/Year)/2009				_X_ Director 10% Owner Officer (give titleX Other (specify below) See General Remarks			
				onth/Day/Year) Applicable Line)Form filed by 0					oint/Group Filing(Check One Reporting Person More than One Reporting		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, ifTransactionor Disposed of (D)SecuritiesCode(Instr. 3, 4 and 5)Beneficially				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(D)	Price	(Insu: 5 and 4)		See	
Common Stock	07/24/2009			S <u>(11)</u>	33,089 (1)	D	\$ 17.0145	916,482	I	Footnote $6 \frac{(6)}{2}$	
Common Stock	07/24/2009			S <u>(11)</u>	7,149 (2)	D	\$ 17.0145	198,265	Ι	See Footnote 7 <u>(7)</u>	
Common Stock	07/24/2009			S <u>(11)</u>	678 <u>(3)</u>	D	\$ 17.0145	18,833	Ι	See Footnote 8 <u>(8)</u>	
Common Stock	07/24/2009			S <u>(11)</u>	122 (4)	D	\$ 17.0145	3,323	Ι	See Footnote	

								9 (9)
Common Stock	07/24/2009	S <u>(11)</u>	662 <u>(5)</u>	D	\$ 17.0145	18,594	I	See Footnote 10 <u>(10)</u>
Common Stock	07/27/2009	S <u>(11)</u>	39,677 (1)	D	\$ 16.485	876,805	I	See Footnote $6 (\underline{^{(6)}}$
Common Stock	07/27/2009	S <u>(11)</u>	8,571 (2)	D	\$ 16.485	189,694	I	See Footnote 7 <u>(7)</u>
Common Stock	07/27/2009	S <u>(11)</u>	812 <u>(3)</u>	D	\$ 16.485	18,021	I	See Footnote 8 <u>(8)</u>
Common Stock	07/27/2009	S <u>(11)</u>	146 <u>(4)</u>	D	\$ 16.485	3,177	I	See Footnote 9 <u>(9)</u>
Common Stock	07/27/2009	S <u>(11)</u>	794 <u>(5)</u>	D	\$ 16.485	17,800	I	See Footnote $10 (10)$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of the Funct / Functions	Director	10% Owner	Officer	Other		
TA ASSOCIATES INC JOHN HANCOCK TOWER 200 CLARENDON ST, 56TH FLOOR BOSTON, MA 02116	Х			See General Remarks		
TA IX LP JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA ASSOCIATES IX LLC JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA / ATLANTIC & PACIFIC IV LP JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA ASSOCIATES AP IV LP JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA ASSOCIATES STRATEGIC PARTNERS FUND A LP JOHN HANCOCK TOWER 200 CLARENDON ST 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA ASSOCIATES STRATEGIC PARTNERS FUND B LP JOHN HANCOCK TOWER 200 CLARENDON ST 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA ASSOCIATES SPF LP JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
TA Investors II L.P. JOHN HANCOCK TOWER 200 CLARENDON ST. 56TH FLOOR BOSTON, MA 02116				See General Remarks		
Signatures						
By TA Associates, Inc., By Thomas P. Alber, Chief Financial	l Officer			07/28/2009		
<u>**</u> Signature of Reporting Person				Date 07/28/2009		

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TA IX L.P., By TA Associates IX LLC, Its General Partner, By TA Associates, Inc., Its Manager, By Thomas P. Alber, Chief Financial Officer					
**Signature of Reporting Person	Date				
TA Associates IX LLC, By TA Associates, Inc., Its Manager, By Thomas P. Alber, Chief Financial Officer					
**Signature of Reporting Person	Date				
TA/Atlantic and Pacific IV L.P., By TA Associates AP IV L.P., Its General Partner, By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				
TA Associates AP IV L.P., By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				
TA Strategic Partners Fund A L.P., By TA Associates SPF L.P., Its General Partner, By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				
TA Strategic Partners Fund B L.P., By TA Associates SPF L.P., Its General Partner, By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				
TA Associates SPF L.P., By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				
TA Investors II L.P., By TA Associates, Inc., Its General Partner, By Thomas P. Alber, Chief Financial Officer	07/28/2009				
**Signature of Reporting Person	Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities were sold solely by TA IX L.P.
- (2) These securities were sold solely by TA/Atlantic and Pacific IV L.P.
- (3) These securities were sold solely by TA Strategic Partners Fund A L.P.
- (4) These securities were sold solely by TA Strategic Partners Fund B L.P.
- (5) These securities were sold solely by TA Investors II L.P.
- (6) These securities are owned solely by TA IX L.P. TA Associates, Inc. is the Manager of TA Associates IX LLC, which is the General Partner and a Limited Partner of TA IX L.P. Each of TA Associates, Inc. and TA Associates IX LLC may be deemed to have a beneficial interest in shares held by TA IX L.P. and each disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest in such shares which is subject to indeterminable future events.

These securities are owned solely by TA/Atlantic and Pacific IV L.P. TA Associates, Inc. is the General Partner of TA Associates AP IV L.P., which is the General Partner and a Limited Partner of TA/Atlantic and Pacific IV L.P. Each of TA Associates, Inc. and TA

- (7) Associates AP IV L.P. may be deemed to have a beneficial interest in shares held by TA/Atlantic and Pacific IV L.P. and each disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest in such shares which is subject to indeterminable future events.
- (8) These securities are owned solely by TA Strategic Partners Fund A L.P. TA Associates, Inc. is the General Partner of TA Associates SPF L.P., which is the General Partner of TA Strategic Partners Fund A L.P. Each of TA Associates, Inc. and TA Associates SPF L.P. may be deemed to have a beneficial interest in shares held by TA Strategic Partners Fund A L.P. and each disclaims beneficial

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ownership of such shares, except to the extent of its pecuniary interest in such shares which is subject to indeterminable future events.

(9) These securities are owned solely by TA Strategic Partners Fund B L.P. TA Associates, Inc. is the General Partner of TA Associates SPF L.P., which is the General Partner of TA Strategic Partners Fund B L.P. Each of TA Associates, Inc. and TA Associates SPF L.P. may be deemed to have a beneficial interest in shares held by TA Strategic Partners Fund B L.P. and each disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest in such shares which is subject to indeterminable future events.

- (10) These securities are owned solely by TA Investors II L.P. TA Associates, Inc. is the General Partner of TA Investors II L.P. TA
   (10) Associates, Inc. may be deemed to have a beneficial interest in shares held by TA Investors II L.P. and disclaims beneficial ownership of such shares.
- (11) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by TA Associates, Inc. on May 29, 2009.

## **Remarks:**

The Reporting Persons are members of a 13(d) group and have a representative on the Issuer's board of directors. Richard D. 7

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. nt-size:9.5pt;">Stock Purchase Agreement dated January 23, 2018, by and among Rimports Inc., Jeffery W. Palmer, the Jeffery Wayne Palmer Dynasty Trust dated December 26, 2011, the Angela Marie Palmer Irrevocable Trust dated December 26, 2011, the Angela Marie Palmer Charitable Lead Trust, the Fidelity Investments Charitable Gift Fund, the TAK Irrevocable Trust dated June 7, 2012, and the SAK Irrevocable Trust dated June 7, 2012, together with each of Todd Knapp and Signe Knapp.

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Press Release dated January 24, 2018 announcing the execution of the Purchase Agreement.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 24, 2018 COMPASS DIVERSIFIED HOLDINGS

By: /s/ Ryan J. Faulkingham

Ryan J. Faulkingham Regular Trustee

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COMPASS GROUP Date: January 24, 2018 DIVERSIFIED HOLDINGS LLC

By: /s/ Ryan J. Faulkingham

Ryan J. Faulkingham Chief Financial Officer