

SOBEY MARK STEWART

Form 4

August 16, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SOBEY MARK STEWART

(Last) (First) (Middle)

5100 PATRICK HENRY DRIVE

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
COHERENT INC [COHR]

3. Date of Earliest Transaction (Month/Day/Year)  
08/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

EVP

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (D) Price		
Common Stock	08/14/2018		S		500 <sup>(1)</sup> D 179.2702 17,887	D	
					<sup>(2)</sup>		
Common Stock	08/14/2018		S		1,175 <sup>(1)</sup> D 180.2313 16,712	D	
					<sup>(3)</sup>		
Common Stock	08/14/2018		S		7,125 <sup>(1)</sup> D 181.6493 9,587	D	
					<sup>(4)</sup>		
Common Stock	08/14/2018		S		2,700 <sup>(1)</sup> D 182.5292 6,887	D	

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Common Stock	08/14/2018	S	1,200 <u>(1)</u>	D	<u>(5)</u> \$ 183.6825	5,687	D
Common Stock	08/14/2018	S	300 <u>(1)</u>	D	<u>(6)</u> \$ 184.2133	5,387	D
					<u>(7)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

SOBEY MARK STEWART  
5100 PATRICK HENRY DRIVE  
SANTA CLARA, CA 95054

EVP

## Signatures

/s/ Mark Sobey

08/16/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Sold pursuant to a 10b5-1 sales plan adopted on May 29, 2018.
- (2) Represents the weighted average sales price for the shares. The range of prices for such sales was \$178.66 to \$179.45. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (3) Represents the weighted average sales price for the shares. The range of prices for such sales was \$179.90 to \$180.78. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (4) Represents the weighted average sales price for the shares. The range of prices for such sales was \$181.10 to \$182.04. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (5) Represents the weighted average sales price for the shares. The range of prices for such sales was \$182.10 to \$183.09. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (6) Represents the weighted average sales price for the shares. The range of prices for such sales was \$183.18 to \$184.16. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (7) Represents the weighted average sales price for the shares. The range of prices for such sales was \$184.18 to \$184.28. The reporting person will provide upon request full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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