## Edgar Filing: Kiefer Donald F. - Form 4

| Form 4  |                |   |   |                         |   |           |   |  |   |                                    |  |  |
|---|----------------|---|---|-------------------------|---|-----------|---|--|---|------------------------------------|--|--|
| January 10,   | ЛД             |   |   |                         |   |           |   |  | OMB A   | PPROVAL                            |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549  |                |   |   |                         |   | OMMISSION | OMB<br>Number:  | 3235-0287  |   |                                    |  |  |
| Check the check | AENT OF        |   | Expires:<br>Estimated                       |                         |   |           |   |  |   |                                    |  |  |
| Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Section 16.<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940   |                |   |   |                         |   |           |   |  | •   |                                    |  |  |
| (Print or Type  | Responses)     |   |   |                         |   |           |   |  |   |                                    |  |  |
| Kiefer Donald F. Syn  |                |   |   |                         |   |           |   | 5. Relationship of Reporting Person(s) to Issuer                           |   |                                    |  |  |
|   |                |   |   | of Earliest Transaction |   |           |   |  | neck all applicable)  |                                    |  |  |
| 349 UNION STREET         (Month/<br>01/08/2   |                |   | :h/Day/Year)<br>8/2018                      |                         |   |           | X Director 10% Owner<br>Officer (give title Other (specify<br>below) below)   |  |   |                                    |  |  |
| Filed(Mo  |                |   | mendment, Date Original<br>/lonth/Day/Year) |                         |   |           | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |   |                                    |  |  |
|   | BURG, PA 17061 | (7.)  |   |                         |   |           | ]   | Person   |   |                                    |  |  |
| (City)  | (State)        | (Zip)   |   | ole I - Non-l           |   |           | -   | ired, Disposed of  | , or Beneficia  | lly Owned                          |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  |                | ransaction Date 2A. Deemed<br>nth/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |   |                         | 4. Securities A<br>our Disposed of<br>(Instr. 3, 4 an<br>Amount | Price     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                    |  |  |
| Mid Penn<br>Bancorp,<br>Inc.<br>Common<br>Stock   | 01/08/2018     |   |   | A                       | 39,852  | A         | (1)   | 39,852   | D   |                                    |  |  |
| Mid Penn<br>Bancorp,<br>Inc.<br>Common<br>Stock   | 01/08/2018     |   |   | A                       | 1,003,259   | A         | (1)   | 1,003,259  | I   | By<br>Lawrence<br>Kiester &<br>Co. |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | isable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration Da | ate        | Amou    | int of   | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)      | Under   | lying    | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |            | Secur   | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |            | (Instr. | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |            |         |          |             | Follo  |
|             | -           |                     |                    |            | (A) or     |               |            |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |            |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |            |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |            |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |            |         |          |             |        |
|             |             |                     |                    |            |            |               |            |         | Amount   |             |        |
|             |             |                     |                    |            |            |               |            |         | or       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration | Title   | Number   |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date       | The     | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |            |         | Shares   |             |        |
|             |             |                     |                    | Couc v     | (II) $(D)$ |               |            |         | Shares   |             |        |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                         | Relationships |            |         |       |  |  |  |
|---|---------------|------------|---------|-------|--|--|--|
| 1 8   | Director      | 10% Owner  | Officer | Other |  |  |  |
| Kiefer Donald F.<br>349 UNION STREET<br>MILLERSBURG, PA 17061 | Х             |            |         |       |  |  |  |
| Signatures  |               |            |         |       |  |  |  |
| /s/ Cindy L. Wetzel,<br>attorney-in-fact                      |               | 01/10/2018 | 3       |       |  |  |  |
| **Signature of Reporting Person                               |               | Date       |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of The Scottdale Bank & Trust Co. common stock was exchanged in connection with the merger with Mid Penn Bancorp, Inc. ("MPB") for either 38.88 shares of MPB common stock or \$1,166 in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.