Khan Najeeb A Form 4 December 13, 2017

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Khan Najeeb A Symbol

Whitestone REIT [WSR]

3. Date of Earliest Transaction

(Month/Day/Year)

2600 S. GESSNER, SUITE 500 12/12/2017

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

\_X\_\_ Director 10% Owner \_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77063

(First)

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                                  |                             |             |  |  |   |  |
|--------------------------------------|---|--|---|----------------------------------|-----------------------------|-------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | spose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Shares                     | 12/12/2017                              |  | A                                       | 953 (1)                          | A                           | \$0         | 8,493  | D  |   |  |
| Common<br>Shares                     | 12/12/2017                              |  | A                                       | 509 (2)                          | A                           | \$<br>14.46 | 9,002  | D  |   |  |
| Common<br>Shares                     |   |  |   |                                  |                             |             | 40   | I  | By Spouse   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Khan Najeeb A - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title       | and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|----------------|--------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amoun          | t of         | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underly        | ying         | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit        | ies          | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3      | 3 and 4)     |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |                |              |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |                |              |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |                |              |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |                |              |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |                |              |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |                |              |             |        |
|             |             |                     |                    |            |            |               |             |                | A manuat     |             |        |
|             |             |                     |                    |            |            |               |             |                | Amount       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |                | Or<br>Number |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Numbe of |              |             |        |
|             |             |                     |                    | C + V      | (A) (D)    |               |             |                |              |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |                | Shares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

10% Owner Officer Other Director

Khan Najeeb A 2600 S. GESSNER, SUITE 500 X HOUSTON, TX 77063

### **Signatures**

/s/ David K. Holeman, Attorney-in-Fact for Najeeb A. Khan

> \*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents grant of Common Shares pursuant to Registrant's Long-Term Equity Incentive Ownership Plan.
- Represents grant of Common Shares pursuant to Registrant's Long-Term Equity Incentive Ownership Plan in lieu of retainer and meeting **(2)**

12/13/2017

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2