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SIMMONS FIRST NATIONAL CORP

Form 3

January 13, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement SIMMONS FIRST NATIONAL CORP [SFNC] **COMPTON JENNIFER** (Month/Day/Year) **BRYNN** 01/03/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O SIMMONS FIRST (Check all applicable) NATIONAL CORP.. Â 501

MAIN STREET Director 10% Owner X_ Officer (Street) Other (give title below) (specify below)

EVP PINE BLUFF, ARÂ 71601

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person Form filed by More than One

Reporting Person

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form: Direct (D)

(Instr. 5)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect

Â **SFNC** 6,520 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Nature of Indirect 1. Title of Derivative Security 3. Title and Amount of 2. Date Exercisable and Beneficial (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|-------------------------------|----------------------------|--------------------|------------------|----------------------------------|------------------------------------|---|------------|
| Performance Stock Unit | (2) | (2) | Common | 310 | \$ <u>(1)</u> | D | Â |
| Performance Stock Unit | (3) | (3) | Common | 310 | \$ <u>(1)</u> | D | Â |
| Performance Stock Unit | (4) | (4) | Common | 1,015 | \$ <u>(1)</u> | D | Â |
| Performance Stock Unit | $\hat{A} \stackrel{(5)}{}$ | (5) | Common | 755 | \$ <u>(1)</u> | D | Â |
| Performance Stock Unit | (6) | (6) | Common | 755 | \$ <u>(1)</u> | D | Â |
| Non-Qualified Stock Option | 12/31/2015 | 09/15/2025 | Common | 1,258 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 12/31/2016 | 09/15/2025 | Common | 1,387 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 12/31/2017 | 09/15/2025 | Common | 660 | \$ 48.13 | D | Â |
| Non-Qualified Stock Option | 01/19/2017 | 01/19/2026 | Common | 588 | \$ 47.02 | D | Â |
| Non-Qualified Stock Option | 01/19/2018 | 01/19/2026 | Common | 588 | \$ 47.02 | D | Â |
| Non-Qualified Stock Option | 01/19/2019 | 01/19/2026 | Common | 589 | \$ 47.02 | D | Â |
| Restricted Stock Unit | (8) | (8) | Common | 510 | \$ <u>(7)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | | Relationships 10% Owner Officer EVP | | |
|----------------------------------|----------|---|---------|-------|
| • 0 | Director | 10% Owner | Officer | Other |
| COMPTON JENNIFER BRYNN | | | | |
| C/O SIMMONS FIRST NATIONAL CORP. | â | â | â EVD | â |
| 501 MAIN STREET | А | A | AEVI | A |
| PINE BLUFF, AR 71601 | | | | |

Signatures

/s/ Jennifer Brynn Compton by Piper P.
Erwin

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

⁽¹⁾ Each Performance Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock Pursuant to the Company's 2015 Incentive Plan.

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- Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2016, contingent upon the reporting person's continued employment with the registrant.
- (3) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2017, contingent upon the reporting person's continued employment with the registrant.
- (4) Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2018, contingent upon the reporting person's continued employment with the registrant.
- Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2016, contingent upon the reporting person's continued employment with the registrant.
- Vesting will occur subsequent to the attainment of performance goals set and verified by the Compensation Committee of the Company's Board of Directors for calendar year 2017, contingent upon the reporting person's continued employment with the registrant.
- (7) Each Restricted Stock Unit represents a contingent right to receive one share of Simmons First National Corporation common stock.
- One third of the Restricted Stock Unit vests on January 19, 2017, 2018 and 2019. SFNC shares will be delivered within 30 days of vesting. Events such as retirement, death, disability and other specified events in the agreement may result in earlier vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.