Edgar Filing: WEST BANCORPORATION INC - Form 4

WEST BANC	CORPORATION	INC	gc									
Form 4 March 26, 20	1/											
	Л								OMB AF	PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						Expires: January 31, 2005 Estimated average burden hours per response 0.5					
See Instruction 1(b).		()			y							
(Print or Type Responses)												
1. Name and Address of Reporting Person _2. Issuer Name and Ticker or Trading5. Relationship ofGULLING DOUGLAS RSymbolIssuer						-	Reporting Person(s) to					
		WEST BANCORPORATION INC [WTBA]				NC	(Check all applicable)					
								· · · · · · · · · · · · · · · · · · ·	give title Other (specify below) EVP & CFO			
				Filed(Month/Day/Year) A					6. Individual or Joint/Group Filing(Check Applicable Line)			
URBANDALE, IA 50322 Form filed by One Reporting Person Form filed by More than One Reporting Person Person												
(City)	(State)	(Zip)	Table	I - Non-De	erivative Se	ecuriti	es Acqui	red, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)			on Date, if Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				l of (D)	5. Amount of Securities Beneficially Owned Following Reported	Ownership In Form: E Direct (D) C	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
COMMON STOCK	03/24/2014			А	12,500 (1)	⁵⁰⁰ A \$		56,230	D			
COMMON STOCK	03/25/2014			F	667 <u>(2)</u>	D	\$ 15.44	55,563	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
GULLING DOUGLAS R 9620 HAMMONTREE DRIVE URBANDALE, IA 50322			EVP & CFO					
Signatures								
Marie I. Roberts, By Power of Attorney		03/26/201	4					
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares were acquired pursuant to a grant of restricted stock units which vest in five equal annual installments beginning March 25, 2015

(2) Restricted stock units withheld to pay payroll taxes due upon vesting and issuance of restricted stock units shares on March 25, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.