

BROYHILL MARKHAM HUNT
Form 4
August 15, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BROYHILL MARKHAM HUNT

(Last) (First) (Middle)

C/O CAPITALA FINANCE
CORP, 4201 CONGRESS STREET,
SUITE 360

(Street)

CHARLOTTE, NC 28209

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Capitala Finance Corp. [CPTA]

3. Date of Earliest Transaction
(Month/Day/Year)
05/16/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	05/16/2014		P	1,098 A \$ 18.1914	1,098	I	via Margaret Christian Broyhill Irrevocable Trust
Common Stock	08/11/2017		P	500 A \$ 8.9981	1,598	I	via Margaret Christian Broyhill Irrevocable Trust
	08/11/2017		P	500 A \$ 8.9981	1,324	I	

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Common Stock									via Paul H. Broyhill II Irrevocable Trust
Common Stock	08/11/2017		P	2,500	A	\$ 9.0099	81,038	D	
Common Stock	08/14/2017		P	500	A	\$ 9.2	81,538	D	
Common Stock							300	I	via Spouse
Common Stock							2,000	I	via Broyhill Memorial Park, Inc.
Common Stock							134,857	I	via Claron Investments, LP
Common Stock							37,764	I	via Broyhill Investments, Inc.
Common Stock							78,455	I	via BMC Fund, Inc.
Common Stock							19,580	I	via Broyhill Family Foundation Inc.
Common Stock							6,993	I	via Hibriten Investments of N.C. Limited Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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