

Resolute Energy Corp
Form 4
January 03, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GAZULIS THEODORE

(Last) (First) (Middle)

1675 BROADWAY, SUITE 1950

(Street)

DENVER, CO 80202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Resolute Energy Corp [REN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/20/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Executive Vice President, CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/20/2013		G ⁽¹⁾	V 3,000 A \$ 0	3,000 ⁽²⁾	I	See Foonote ⁽²⁾
Common Stock	11/20/2013		G ⁽¹⁾	V 5,000 A \$ 0	5,000 ⁽³⁾	I	See Footnote ⁽³⁾
Common Stock	12/30/2013		G	V 4,435 D \$ 0	672,733 ⁽⁴⁾	D ⁽⁵⁾	
Common Stock	12/31/2013		F	18,282 ⁽⁶⁾ D \$ 9.03	654,451 ⁽⁴⁾	D ⁽⁵⁾	
Common Stock	12/31/2013		D	50,000 ⁽⁷⁾ D \$ 0	604,451 ⁽⁴⁾	D ⁽⁵⁾	

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- (5) Includes 303,971 shares held by the Reporting Person in a revocable trust and 43,913 shares held in a custodial account.
Represents shares surrendered to Issuer to cover tax withholding obligations of the Reporting Person upon the vesting of 48,647 shares of
- (6) restricted stock issued pursuant to Issuer's 2009 Performance Incentive Plan, all shares of which vested in accordance with Time-Vesting criteria.
- (7) Restricted stock subject to Performance-Vesting criteria forfeited pursuant to the terms of the Reporting Person's Restricted Stock Agreement dated May 7, 2010.

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