Eck Dennis K Form 4 June 25, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Eck Dennis K

2. Issuer Name and Ticker or Trading

Issuer

Symbol

Ulta Beauty, Inc. [ULTA]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director Officer (give title

10% Owner Other (specify

1000 REMINGTON BLVD., SUITE

(Street)

(First)

120

4. If Amendment, Date Original Filed(Month/Day/Year)

06/21/2018

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

#### **BOLINGBROOK, IL 60440**

| (City)                               | (State)                                 | (Zip) Tabl  | le I - No | n-D | erivative  | Secui     | rities Acqu  | ired, Disposed of  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|-----------|-----|--|-----------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code      |     | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) |           |              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code      | V   | Amount   | or<br>(D) | Price        | (Instr. 3 and 4)   |  |   |
| Common<br>Stock                      | 06/21/2018                              |   | S         |     | 2,500  | D         | \$<br>252.01 | 380,294  | D  |   |
| Common<br>Stock                      | 06/21/2018                              |   | G         | V   | 4,000  | D         | \$ 0 (1)     | 376,294  | D  |   |
| Common<br>Stock                      | 06/22/2018                              |   | S         |     | 1,000  | D         | \$<br>250.32 | 375,294  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Eck Dennis K - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Tit | le of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.          | 6. Date Exerc     | cisable and | 7. Title        | e and    | 8. Price of | 9. Nu  |
|--------|-------|-------------|---------------------|--------------------|------------|-------------|-------------------|-------------|-----------------|----------|-------------|--------|
| Deriv  | ative | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber    | r Expiration Date |             | Amou            | nt of    | Derivative  | Deriv  |
| Secur  | ity   | or Exercise |                     | any                | Code       | of          | (Month/Day/       | Year)       | Under           | lying    | Security    | Secui  |
| (Instr | . 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative  | e                 |             | Securi          | ties     | (Instr. 5)  | Bene   |
|        |       | Derivative  |                     |                    |            | Securities  |                   |             | (Instr.         | 3 and 4) |             | Owne   |
|        |       | Security    |                     |                    |            | Acquired    |                   |             |                 |          |             | Follo  |
|        |       | •           |                     |                    |            | (A) or      |                   |             |                 |          |             | Repo   |
|        |       |             |                     |                    |            | Disposed    |                   |             |                 |          |             | Trans  |
|        |       |             |                     |                    |            | of (D)      |                   |             |                 |          |             | (Instr |
|        |       |             |                     |                    |            | (Instr. 3,  |                   |             |                 |          |             |        |
|        |       |             |                     |                    |            | 4, and 5)   |                   |             |                 |          |             |        |
|        |       |             |                     |                    |            |             |                   |             |                 | Amount   |             |        |
|        |       |             |                     |                    |            |             |                   |             |                 | Amount   |             |        |
|        |       |             |                     |                    |            |             | Date              | Expiration  |                 | Or       |             |        |
|        |       |             |                     |                    |            | Exercisable | xercisable Date   |             | Title Number of |          |             |        |
|        |       |             |                     | C-J- V             | (A) (D)    |             |                   |             |                 |          |             |        |
|        |       |             |                     |                    | Code V     | (A) (D)     |                   |             |                 | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Eck Dennis K 1000 REMINGTON BLVD., SUITE 120 X BOLINGBROOK, IL 60440

### **Signatures**

/s/ Jodi J. Caro, as attorney-in-fact for Dennis K. Eck

06/25/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Voluntary report of charitable gift of 4,000 shares on 6/21/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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