## Edgar Filing: Johnston Cesar - Form 4

Johnston Ce Form 4	esar										
September 2	28, 2017										
FORM	Л 4	~ ~							OMB AF	PROVAL	
	) STATES	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMMISSION	OMB Number:	3235-0287		
Check th if no lor subject to Section Form 4 Form 5 obligation may cor <i>See</i> Inst 1(b).	nger to 16. or Filed pu ons section 17	<ul> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,</li> <li>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section</li> <li>a 20(b) of the Investment Company Act of 1940</li> </ul>								January 31, 2005Estimated average burden hours per response0.5	
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Johnston Cesar			2. Issuer Name <b>and</b> Ticker or Trading Symbol Energous Corp [WATT]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) C/O ENERGOUS CORPORATION, 3590 NORTH FIRST STREET, SUITE 210			3. Date of Earliest Transaction (Month/Day/Year) 09/26/2017					(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> Officer (give title <u></u> Other (specify below) Senior VP, Engineering			
SAN JOSE	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu	rities Acaı	iired, Disposed of,	or Beneficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)		ed Date, if	3.	4. Securit oror Dispos (Instr. 3, -	ties Ac and of (	quired (A) (D) 5) Price	· • ·	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	09/26/2017			S	15,000	D	\$ 12.5544 (1)	4 88,991	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships							
	Director	10% Owner	Officer	Other					
Johnston Cesar C/O ENERGOUS CORPORATION 3590 NORTH FIRST STREET, SUITE 2 SAN JOSE, CA 95134	210		Senior VP, Engineering						
Signatures									
/s/ Bill Mannina, Attorney-in-Fact	9/28/2017								

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$12.30 to
 \$12.72 per share. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.