Edgar Filing: TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC - Form 5

TORTOISE POWER & ENERGY INFRASTRUCTURE FUND INC

Form 5 Ja

Common

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Shares

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12/01/2014

01/02/2015

02/02/2015

03/02/2015

anuary 11, 2	2016							
FORM	15						OMB	APPROVAL
Check this no longer	UNITE box if	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						3235-0362 January 31,
to Section Form 4 or 5 obligation may contin	16. Form Al	NNUAL ST	FATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated burden ho response	ours per
See Instruct 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed _I Filed I Filed I	7(a) of the	Public U	tility Holdin	Securities Exchang ng Company Act of company Act of 194	1935 or Sect		
1. Name and Address of Reporting Person <u>*</u> ADAMS P. BRADLEY			Symbol TORTC	DISE POWE	ker or Trading R & ENERGY RE FUND INC	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended $-X_{-}$			Director X Officer (g below) Chie		0% Owner ther (specify ficer
11550 ASH	STREET, S	UITE 300	11/30/2	012				
	(Street)			ndment, Date hth/Day/Year)	Original		r Joint/Group Ro	
LEAWOOD	9, KS 6621	1					by One Reporting by More than One	
(City)	(State)	(Zip)	Tabl	e I - Non-Der	ivative Securities Acq	uired, Disposed	l of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	med n Date, if Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquiree (A) or Disposed of (I (Instr. 3, 4 and 5)		Ownership Form: Dire nd (D) or Indirect (I)	7. Nature of Indirect Ct Beneficial Ownership (Instr. 4)

(A)

or

A

А

Amount (D)

4.23

4.4 (1)

4.43

4.34

(1)

(1)

L

L

L

L

2,514.69⁽²⁾ D

(Instr. 3 and

\$26.7 2,514.69⁽²⁾ D

4)

Price

\$ 25.79

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Shares				(1)				
Common Shares	04/01/2015	Â	L	4.76 (1)	А	\$ 26.61	2,514.69 (2) D	Â
Common Shares	04/20/2015	Â	S4 <u>(3)</u>	2	D	\$ 28.34	2,514.69 (2) D	Â
Common Shares	05/01/2015	Â	L	4.5 <u>(1)</u>	A	\$ 28.3	2,514.69 (2) D	Â
Common Shares	06/01/2015	Â	L	4.75 (1)	A	\$ 26.97	2,514.69 (2) D	Â
Common Shares	07/01/2015	Â	L	5.48 (1)	A	\$ 23.46	2,514.69 (2) D	Â
Common Shares	08/03/2015	Â	L	5.34 (1)	A	\$ 24.25	2,514.69 (2) D	Â
Common Shares	09/01/2015	Â	L	6.14 (1)	A	\$ 21.2	2,514.69 (2) D	Â
Common Shares	10/01/2015	Â	L	6.91 (1)	A	\$ 18.96	2,514.69 (2) D	Â
Common Shares	11/02/2015	Â	L	6.3 <u>(1)</u>	А	\$ 20.94	2,514.69 <u>(2)</u> D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	of D Se B	
	Security				Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						O Eı Is Fi (It
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address

Relationships

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	Director	10% Owner	Officer	Other
ADAMS P. BRADLEY 11550 ASH STREET SUITE 300 LEAWOOD, KS 6621	Â 1	Â	Chief Executive Officer	Â
Signatures				
P. Bradley Adams	01/11/2016			
** Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.
- (2) Includes 166.21 shares acquired under the Tortoise Power and Energy Infrastructure Fund, Inc. dividend reinvestment plan.
- (3) Non-discretionary transaction; transaction by reporting person's investment adviser in account over which reporting person has no discretion.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.