Edgar Filing: DYNEGY INC. - Form 4

DVNECV INC

Form 4	С.										
June 05, 2015	í										
FORM	4						~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~		PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this if no longe						Expires:	January 31, 2005				
subject to Section 16 Form 4 or		ENT OF CHAN	CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Estimated average burden hours per response 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type Ro	esponses)										
Kuersteiner Richard Lee Symbol			Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
(Last)				-			(Check all applicable)				
601 TRAVIS, SUITE 1400 06/03/20			ay/Year)				X_ Director 10% Owner Officer (give title Other (specify below) below)				
			ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HOUSTON,	TX 77002						Form filed by M Person				
(City)	(State) (Zip) Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3,	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
2			Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock	06/03/2015 <u>(1)</u>		A <u>(2)</u>	3,393	А	\$0	14,489	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: DYNEGY INC. - Form 4

vative rity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	etion 3)	ofNumber E of (N Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,				Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code '		4, and (A)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships								
1	Director	10% Owner	Officer Other						
Kuersteiner Richard Lee 601 TRAVIS, SUITE 1400 HOUSTON, TX 77002	Х								
Signatures									
/s/ Heidi D. Lewis, Attorney-in-Fact		06/05/2015	5						

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grants of restricted stock units approved by Issuer's Compensation and Human Resources Committee of the Board of Directors on June 3, (1) 2015.

Restricted stock units grant pursuant to Rule 16b-3(d). The restricted stock units were issued in connection with the Reporting Person's director compensation, and the number of units issued was calculated based on the closing stock price of the Issuer's common stock of

(2) \$32.42 on June 3, 2015. Each restricted stock unit represents a contingent right to receive one share of Dynegy Inc. common stock. The restricted stock units vest in full on June 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.