

RESMED INC  
Form 4  
April 03, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**FARRELL PETER C**

(Last) (First) (Middle)

**RESMED INC., 9001 SPECTRUM  
CENTER BOULEVARD**

(Street)

**SAN DIEGO, CA 92123**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**RESMED INC [RMD]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**04/01/2015**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| ResMed<br>Common<br>Stock             | 04/01/2015                              |                                                             | M <sup>(1)</sup>                     | 13,557 A                                                                | \$ 15.52 382,156                                                                                                   | D                                                                       |                                                                   |
| ResMed<br>Common<br>Stock             | 04/01/2015                              |                                                             | S <sup>(1)</sup>                     | 13,357 D                                                                | \$ 70.6881 368,799                                                                                                 | D                                                                       |                                                                   |
| ResMed<br>Common<br>Stock             | 04/01/2015                              |                                                             | S <sup>(1)</sup>                     | 200 D                                                                   | \$ 71.245 368,599                                                                                                  | D                                                                       |                                                                   |
| ResMed<br>Common                      | 04/01/2015                              |                                                             | M <sup>(1)</sup>                     | 24,443 A                                                                | \$ 19.25 391,042                                                                                                   | D                                                                       |                                                                   |

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Stock

|                           |            |                  |        |   |                                 |         |   |
|---------------------------|------------|------------------|--------|---|---------------------------------|---------|---|
| ResMed<br>Common<br>Stock | 04/01/2015 | S <sup>(1)</sup> | 22,143 | D | \$<br>70.6876<br><sup>(5)</sup> | 368,599 | D |
|---------------------------|------------|------------------|--------|---|---------------------------------|---------|---|

|                           |            |                  |     |   |                            |         |   |
|---------------------------|------------|------------------|-----|---|----------------------------|---------|---|
| ResMed<br>Common<br>Stock | 04/01/2015 | S <sup>(1)</sup> | 300 | D | \$ 71.28<br><sup>(6)</sup> | 368,599 | D |
|---------------------------|------------|------------------|-----|---|----------------------------|---------|---|

|                           |  |  |  |  |  |        |   |
|---------------------------|--|--|--|--|--|--------|---|
| ResMed<br>Common<br>Stock |  |  |  |  |  | 22,783 | I |
|---------------------------|--|--|--|--|--|--------|---|

|                           |  |  |  |  |  |        |   |
|---------------------------|--|--|--|--|--|--------|---|
| ResMed<br>Common<br>Stock |  |  |  |  |  | 87,776 | I |
|---------------------------|--|--|--|--|--|--------|---|

|                           |  |  |  |  |  |         |   |
|---------------------------|--|--|--|--|--|---------|---|
| Resmed<br>Common<br>Stock |  |  |  |  |  | 200,000 | I |
|---------------------------|--|--|--|--|--|---------|---|

Peter C.  
Farrell  
Grantor  
Retained  
Trust dated  
December  
2012 <sup>(7)</sup>

Peter C.  
Farrell  
Grantor  
Retained  
Annuity  
Trust dated  
March 4,  
2014 <sup>(1)</sup>

Peter C.  
Farrell  
Grantor  
Retained  
Annuity  
Trust dated  
October  
14, 2014  
<sup>(7)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

|                                                     |                                                                    |                                         |                                                             |                                      |                                                                                                              |                                                                |                                                                  |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|

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|                                      |          |            | Code             | V | (A) | (D) | Date Exercisable          | Expiration Date | Title                     | Am<br>or<br>Num<br>of S |
|--------------------------------------|----------|------------|------------------|---|-----|-----|---------------------------|-----------------|---------------------------|-------------------------|
| ResMed<br>Common<br>Stock<br>Options | \$ 15.52 | 04/01/2015 | M <sup>(1)</sup> |   |     |     | 11/20/2009 <sup>(2)</sup> | 11/20/2015      | ResMed<br>Common<br>Stock | 13,                     |
| ResMed<br>Common<br>Stock<br>Options | \$ 19.25 | 04/01/2015 | M <sup>(1)</sup> |   |     |     | 02/03/2007 <sup>(2)</sup> | 02/03/2016      | ResMed<br>Common<br>Stock | 22,                     |

## Reporting Owners

| Reporting Owner Name / Address                                                          | Relationships |           |                       |       |
|-----------------------------------------------------------------------------------------|---------------|-----------|-----------------------|-------|
|                                                                                         | Director      | 10% Owner | Officer               | Other |
| FARRELL PETER C<br>RESMED INC.<br>9001 SPECTRUM CENTER BOULEVARD<br>SAN DIEGO, CA 92123 | X             |           | Chairman of the Board |       |

## Signatures

Peter C. Farrell 04/03/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was conducted under a 10b5-1 Plan as defined under the Securities Exchange Act of 1934, as amended.
- (2) Represents date options first became available. Options vest 1/4 each year.
- (3) This transaction was executed in multiple trades at prices ranging from \$70.09 to \$71.02. The price reported above reflects the weighted average sale price. The reporting person will provide full information regarding the number of shares and prices at which the transaction was effected upon request to the SEC staff, the issuer or the security holder of the issuer.
- (4) This transaction was executed in multiple trades at prices ranging from \$70.09 to \$71.40. The price reported above reflects the weighted average sale price. The reporting person will provide full information regarding the number of shares and prices at which the transaction was effected upon request to the SEC staff, the issuer or the security holder of the issuer.
- (5) This transaction was executed in multiple trades at prices ranging from \$70.08 to \$71.02. The price reported above reflects the weighted average sale price. The reporting person will provide full information regarding the number of shares and prices at which the transaction was effected upon request to the SEC staff, the issuer or the security holder of the issuer.
- (6) This transaction was executed in multiple trades at prices ranging from \$71.12 to \$71.59. The price reported above reflects the weighted average sale price. The reporting person will provide full information regarding the number of shares and prices at which the transaction was effected upon request to the SEC staff, the issuer or the security holder of the issuer.
- (7) Securities held by Larry Poster, Trustee of the Peter C. Farrell Grantor Retained Annuity Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.