**BROCK J DON** Form 4 May 13, 2010

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287

Check this box if no longer subject to Section 16.

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **BROCK J DON** 

2. Issuer Name and Ticker or Trading

ASTEC INDUSTRIES INC [ASTE]

5. Relationship of Reporting Person(s) to Issuer

Symbol

(First) (Middle) (Last)

(Street)

3. Date of Earliest Transaction

(Check all applicable)

4101 JEROME AVE

(Month/Day/Year) 05/11/2010

\_X\_\_ 10% Owner \_X\_\_ Director \_\_ Other (specify X\_ Officer (give title

below) President & CEO

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CHATTANOOGA, TN 37407

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	omr Dispo (Instr. 3	osed of , 4 and (A) or	15)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							44,475	I	Residuary Trust
Common Stock							36,000 (1)	D	
Common Stock	05/11/2010		S	3,000	D	\$ 32.05	2,477,412	D	
Common Stock	05/12/2010		S	2,000	D	\$ 32.35	2,475,412	D	
Common Stock	05/12/2010		S	3,000	D	\$ 32.6	2,472,412	D	

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Common Stock 05/12/2010 S 2,000 D \$ 32.6016 2,470,412 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(2)</u>					(2)	(2)	Common Stock	36,245.285 (2)
Stock Options	\$ 19.43					03/06/2006	03/06/2015	Common Stock	25,322

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BROCK J DON								
4101 JEROME AVE	X	X	President & CEO					
CHATTANOOGA, TN 37407								

# **Signatures**

Robert Taylor, attorney in fact for J. Don Brock 05/13/2010

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported holdings are Restricted Stock Units which convert into common stock on a one-for-one basis at a later date.

Reporting Owners 2

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(2) Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.