Edgar Filing: Cumming Lawrence R - Form 4

| Cumming Lawre | nce R | | | | | | | | | | |
|--|--|--|---------------------------------------|---|---|--|--|--|---|--|--|
| Form 4 January 13, 2010 | | | | | | | | | | | |
| | | | | | | | | OMB A | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-0287 | | |
| Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. | STATEM Filed pur | | Estimated burden ho response | urs per | | | | | | | |
| See Instruction 1(b). | | 30(h) | of the Iı | nvestmen | t Compan | y Act of 1 | 940 | | | | |
| (Print or Type Respo | onses) | | | | | | | | | | |
| 1. Name and Addres Cumming Lawre | Person <u>*</u> | Symbol | | d Ticker or TRIES IN | Trading IC [ASTE | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
| (Last) | (First) (I | Middle) | ddle) 3. Date of Earliest Transaction | | | | | (Check an applicable) | | | |
| 3417 LAKESIDE DR | | | (Month/Day/Year) 01/12/2010 | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) President-Peterson Pacific | | | | |
| | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | | | | | |
| EUGENE, OR 9 | 97401 | | | | | | Form filed by Person | More than One R | .eporting | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Securities A | cquired, Disposed | of, or Beneficia | ully Owned | | |
| | ansaction Date nth/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | Code (Instr. 8) | 4. Securiti onAcquired (Disposed o (Instr. 3, 4 | (A) or of (D) and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | Code V | Amount | (D) Price | | | | | |
| Reminder: Report of | n a separate line | of or each cla | ass of sec | urities bene | Person inform require | ns who restation cont ation cont ed to resp ys a curre | or indirectly. spond to the collect tained in this form ond unless the fo ntly valid OMB co | n are not rm | SEC 1474 (9-02) | | |

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|-------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

8 E S

Edgar Filing: Cumming Lawrence R - Form 4

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr.) | 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | (| | (1 | |
|------------------|------------------------------------|------------|------------------|-----------|----|---|-----|---------------------|--------------------|-----------------|----------------------------------|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | <u>(1)</u> | 01/12/2010 | | Р | | 97.7112 | | <u>(1)</u> | (1) | Common Stock | 97.7112 | |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | |
|--|------------|-----------|----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Cumming Lawrence R 3417 LAKESIDE DR EUGENE, OR 97401 | | | President-Peterson Pacific | | | |
| Signatures | | | | | | |
| Robert Taylor, attorney in fact Cumming | 01/13/2010 | | | | | |
| <u>**Signature of Reportion</u> | ng Person | | Date | | | |

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reported transaction represents the Company contribution to the reporting person?s SERP account that was used to acquire Astec stock. (1) Phantom shares are held in a SERP and are payable in cash following the reporting person?s termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.