Edgar Filing: NovaBay Pharmaceuticals, Inc. - Form 4

NovaBay Pharmaceuticals, Inc. Form 4 October 06, 2008

October 06, 2	.008											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL				
	Washington, D.C. 20549								OMB Number:	3235-0287		
Check this if no longe	ər			0					Expires:	January 31		
subject to Section 16 Form 4 or	51A1EM 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								2005 average Irs per 0.5		
Form 5 obligation: may contin <i>See</i> Instruct 1(b).	s Section 17(a)) of the I	Public Uti		ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectic 40	response			
(Print or Type Ro	esponses)											
Dailley Anthony Symbol NovaE			Symbol	Name and				5. Relationship of Reporting Person(s) to Issuer				
			NovaBa [NBY]	y Pharmao	ceuticals	, Inc.		(Check all applicable)				
			(Month/Da	•	nsaction			X_ Director 10% Owner Officer (give title Other (specify below) below)				
	3AY EUTICALS, INC. FREET, SUITE 5		10/01/20	008								
	(Street) 4. If Amer Filed(Mont				e Original			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
EMERYVIL	LE, CA 94608							Person	Note than one R	porting		
(City)	(State) (Z	Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
(Instr. 3) any		Executio any	ned n Date, if Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price)	Securities Beneficially Owned	6. Ownership Form: Direct D) or Indirect (I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/01/2008			А	1,350	A	\$ 0	162,701	I	By the Anthony & Terry Dailley		
										Trust, u/a/d/ 1991		
Common Stock								75,000	D			
Common Stock								10,180	Ι	By the Anthony		

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DDS Profit Sharing Plan

Dailley

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Securi	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

 Reporting Owner Name / Address
 Iterationships

 Director
 10% Owner
 Officer
 Other

 Dailley Anthony
 C/O NOVABAY PHARMACEUTICALS, INC.
 X
 Y
 Y
 Y

 System
 Signatures
 Y
 Y
 Y
 Y
 Y
 Y

 Signatures
 /s/ Theresa Granados, Attorney-in-Fact for Anthony
 10/06/2008
 10/06/2008
 Y

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

Relationships