

RANKIN MATTHEW M
Form 4
April 03, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN MATTHEW M

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

3. Date of Earliest Transaction
(Month/Day/Year)
03/19/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Member of a group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Class A Common Stock	03/19/2018		J(1)	V	1,365	A	(2)	10,409	I	By Trust (3)
Class A Common Stock	03/19/2018		J(1)	V	200	A	(2)	10,609	I	By Trust (3)
Class A Common Stock	03/19/2018		J(1)	V	945	A	(2)	11,554	I	By Trust (3)
Class A Common Stock	03/19/2018		J(1)	V	1	A	(2)	11,555	I	By Trust (3)

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Common Stock								
Class A Common Stock	03/19/2018	J ⁽¹⁾	V 50	A	<u>(2)</u>	11,605	I	By Trust ⁽³⁾
Class A Common Stock	03/19/2018	J ⁽¹⁾	V 100	A	<u>(2)</u>	11,705	I	By Trust ⁽³⁾
Class A Common Stock	04/02/2018	A ⁽⁴⁾	565	A	<u>(2)</u>	12,270	I	By Trust ⁽³⁾
Class A Common Stock						722	I	By Spouse ⁽⁵⁾
Class A Common Stock ⁽⁶⁾						2,058	I	By RAI/Spouse ⁽⁷⁾
Class A Common Stock						645	I	Trust/Child1 ⁽⁸⁾
Class A Common Stock						1,553	I	Trust/RAI/Child1 ⁽⁹⁾
Class A Common Stock ⁽⁶⁾						500	D	
Class A Common Stock ⁽⁶⁾						9,103	I	By RAI ⁽¹⁰⁾
Class A Common Stock						563	I	Trust/Child2 ⁽⁸⁾
Class A Common Stock						1,405	I	Trust/RAI/Child2 ⁽⁹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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(10) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P .

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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