

SYNEX CORP  
Form 4  
January 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
POLK DENNIS

(Last) (First) (Middle)  
44201 NOBEL DRIVE  
(Street)

FREMONT, CA 94538

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SYNEX CORP [SNX]

3. Date of Earliest Transaction (Month/Day/Year)  
01/03/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	01/03/2017		M		500	A	\$ 26.98	51,359	D	
Common Stock	01/03/2017		S(1)		34	D	\$ 122.75	51,325	D	
Common Stock	01/03/2017		S(1)		100	D	\$ 122.55	51,225	D	
Common Stock	01/03/2017		S(1)		100	D	\$ 122.48	51,125	D	
Common Stock	01/03/2017		S(1)		100	D	\$ 122.43	51,025	D	

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Common Stock	01/03/2017	<u>S(1)</u>	65	D	\$ 122.195	50,960	D
Common Stock	01/03/2017	<u>S(1)</u>	99	D	\$ 122.05	50,861	D
Common Stock	01/03/2017	<u>S(1)</u>	1	D	\$ 122.035	50,860	D
Common Stock	01/03/2017	<u>S(1)</u>	50	D	\$ 121.46	50,810	D
Common Stock	01/03/2017	<u>S(1)</u>	50	D	\$ 121.4	50,760	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.3	50,660	D
Common Stock	01/03/2017	<u>S(1)</u>	30	D	\$ 121.255	50,630	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.09	50,530	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 121.04	50,430	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.835	50,330	D
Common Stock	01/03/2017	<u>S(1)</u>	20	D	\$ 120.82	50,310	D
Common Stock	01/03/2017	<u>S(1)</u>	70	D	\$ 120.8	50,240	D
Common Stock	01/03/2017	<u>S(1)</u>	117	D	\$ 120.785	50,123	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.77	50,023	D
Common Stock	01/03/2017	<u>S(1)</u>	95	D	\$ 120.59	49,928	D
Common Stock	01/03/2017	<u>S(1)</u>	2	D	\$ 120.555	49,926	D
Common Stock	01/03/2017	<u>S(1)</u>	2	D	\$ 120.495	49,924	D
Common Stock	01/03/2017	<u>S(1)</u>	100	D	\$ 120.45	49,824	D
Common Stock	01/03/2017	<u>S(1)</u>	3	D	\$ 120.445	49,821	D
Common Stock	01/03/2017	<u>S(1)</u>	4	D	\$ 120.435	49,817	D
	01/03/2017	<u>S(1)</u>	100	D	\$ 120.42	49,717	D

Common Stock

Common Stock 01/03/2017 S<sup>(1)</sup> 3 D \$ 120.415 49,714 D

Common Stock 01/03/2017 S<sup>(1)</sup> 97 D \$ 120.4 49,617 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Amount of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to Buy)	\$ 26.98	01/03/2017		M	500	<sup>(2)</sup> 10/05/2021	Common Stock	500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
POLK DENNIS 44201 NOBEL DRIVE FREMONT, CA 94538	X		Chief Operating Officer	

## Signatures

/s/ Simon Y. Leung,  
Attorney-in-Fact

01/05/2017

<sup>(1)</sup>Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 27, 2016.
- (2) This stock option is immediately exercisable as to 4139 shares and is fully vested.

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