Facebook Inc Form 4 March 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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OMB APPROVAL

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** Cox Christopher K | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|--|---|--|--|--|
| | Facebook Inc [FB] | (Check all applicable) | | | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction | | | | |
| | (Month/Day/Year) | Director 10% Owner | | | |
| C/O FACEBOOK, INC., 1601 | 03/15/2016 | X Officer (give title Other (specify | | | |
| WILLOW ROAD | | below) below) Chief Product Officer | | | |
| (Street) | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| MENI O DADIZ CA 04025 | | Form filed by More than One Reporting | | | |

Person

MENLO PARK, CA 94025

| (City) | (State) | (Zip) Ta | ble I - Non | -Derivativ | e Seci | ırities Acquir | ed, Disposed of, | or Beneficial | lly Owned |
|--------------------------------------|---|---|-----------------|-------------------|--------|--|--|---|-------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Code (Instr. 8) | (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Common Stock | 03/15/2016 | | Code V | Amount 11,371 (1) | (D) | Price \$ 0 | 405,544 | D | |
| Class A Common Stock | 03/15/2016 | | S | 3,100 (2) | D | \$ 109.3581 (3) | 402,444 | D | |
| Class A Common Stock | 03/15/2016 | | S | 2,834 (2) | D | \$ 110.3526 (4) | 399,610 | D | |
| Class A Common | | | | | | | 76,945 | I | By Christopher |

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| Stock | | | K. Cox Revocable Trust (5) |
|----------------------|--------|---|--|
| Class A Common Stock | 28,816 | I | By Remainder Interest Trust Created Under The Christopher K. Cox 2009 Annuity Trust Dated 5/29/2009 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of actionDerivative Securities Acquired (A) or 8) Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amo Underlying Secur (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|---|--|----------------|--|--------------------|--|-----------------|
| | · | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | An Nu Sha |
| Restricted Stock Units (RSU) (Class A) | <u>(7)</u> | 03/15/2016 | | A | 134,920 | (- / | (8) | 03/14/2026 | Class A Common Stock | 13 |
| Restricted Stock Unit (RSU) (Class B) | <u>(9)</u> | 03/15/2016 | | M | | 11,371 | (10) | 08/25/2019 | Class B Common Stock (11) | 1 |
| Class B Common Stock (11) | (11) | 03/15/2016 | | M | 11,371 | | <u>(11)</u> | <u>(11)</u> | Class A Common Stock | 1 |
| Class B Common | (11) | 03/15/2016 | | С | | 11,371 (12) | <u>(11)</u> | <u>(11)</u> | Class A Common | 1 |

Stock (11) Stock

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Cox Christopher K C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

Chief Product Officer

Signatures

/s/ Michael Johnson as attorney-in-fact for Christopher K. Cox

03/17/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the restricted stock units (the "RSUs") in Table II.
- Represents the number of shares required to be sold by the reporting person to cover tax withholding obligations in connection with the vesting of the RSUs listed in Table II. This sale is mandated by the Issuer's election under its equity incentive plans to require the satisfaction of tax withholding obligations to be funded by a "sell to cover" transaction and does not represent a discretionary trade by the reporting person.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$108.95 to \$109.88 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$110.03 to \$110.70 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) Shares held of record by Christopher Cox, Trustee of the Christopher K. Cox Revocable Trust.
- Shares held of record by Remainder Interest Trust Created under the Christopher K. Cox 2009 Annuity Trust dated 5/29/2009, Visra Vichit-Vadakan, Trustee, the beneficiaries of which include the reporting person's children. The reporting person's spouse is trustee of the trust. The reporting person disclaims beneficial ownership of these shares, and the filing of this report is not an admission that the reporting person is the beneficial owner of these shares for purposes of Section 16 or for any other purpose.
- (7) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (8) The RSUs shall vest quarterly as to 1/16th of the total shares, commencing the first quarter following February 15, 2020, subject to continued service through each vesting date.
- (9) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (10) The RSUs vest as to 1/48 of the total number of shares monthly, beginning on September 1, 2013, subject to continued service through each vesting date.
- (11) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (12) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

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