

Facebook Inc  
Form 4  
February 17, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Koum Jan

(Last) (First) (Middle)

C/O FACEBOOK, INC., 1601  
WILLOW ROAD

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Facebook Inc [FB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/15/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		Price
Class A Common Stock	02/12/2016		G <sup>(1)</sup>	V	250,000	D	\$ 0
					45,405,315	I	
							By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/12/2016		G <sup>(1)</sup>	V	125,000	D	\$ 0
					45,280,315	I	
							By Jan Koum, Trustee of The Butterfly

								Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/15/2016	M	1,242,673	A	\$ 0	46,522,988	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/16/2016	S	338,054 <sup>(3)</sup>	D	\$ 100.8377 <sup>(4)</sup>	46,184,934	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/16/2016	S	56,844 <sup>(3)</sup>	D	\$ 101.6623 <sup>(5)</sup>	46,128,090	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/16/2016	S	143,412 <sup>(3)</sup>	D	\$ 102.8794 <sup>(6)</sup>	45,984,678	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/16/2016	S	108,747 <sup>(3)</sup>	D	\$ 103.4446 <sup>(7)</sup>	45,875,931	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock	02/17/2016	S <sup>(8)</sup>	286,906	D	\$ 105.0556 <sup>(9)</sup>	45,589,025	I	By Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004 <sup>(2)</sup>
Class A Common Stock						3,500,000	I	By Jan Koum and BNY

				Mellon Trust of Delaware Co-Trustees of The Jan Koum Trust VIII U/A/D 01/29/2016 <u>(10)</u>
				By Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015 <u>(11)</u>
Class A Common Stock		3,442,873	I	
				By Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015 <u>(12)</u>
Class A Common Stock		3,500,000	I	
				By Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VI U/A/D 8/5/2015 <u>(13)</u>
Class A Common Stock		3,500,000	I	
				By Jan Koum and BNY Mellon Trust of
Class A Common Stock		3,500,000	I	

							Delaware, Co-Trustees of The Jan Koum Trust VII U/A/D 10/20/2015 (14)
Class A Common Stock				141,489	I		By Jan Koum, Trustee of The Jan Koum Trust I U/A/D 4/29/2014 (15)
Class A Common Stock				141,489	I		By Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014 (16)
Class A Common Stock				2,528,672	I		By Jan Koum, Trustee of The Jan Koum Trust III U/A/D 10/9/2014 (17)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares

Restricted  
Stock  
Units  
(RSU)  
(Class A)

(18)

02/15/2016

M

1,242,673

(19)

11/16/2024

Class A  
Common  
Stock

1,242,673

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director    10% Owner    Officer    Other
Koum Jan C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X

## Signatures

/s/ Michael Johnson as attorney-in-fact for Jan  
Koum

02/17/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of Class A Common Stock that were donated as a gift. The reporting person does not exercise voting or investment control, directly or indirectly, over the donated shares following this transfer.

(2) Shares held of record by Jan Koum, Trustee of The Butterfly Trust U/A/D 1/20/2004.

(3) Represents the number of shares required to be sold by the reporting person to cover tax withholding obligations in connection with the vesting of the RSUs listed in Table II. This sale is mandated by the Issuer's election under its equity award agreements to require the satisfaction of tax withholding obligations to be funded by a "sell to cover" transaction and does not represent a discretionary trade by the reporting person.

(4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$100.25 to \$101.245 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$101.25 to \$102.245 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$102.25 to \$103.24 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$103.25 to \$103.78 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(8) The sales reported were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

(9) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$105.00 to \$105.695 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or

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the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

- (10) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VIII U/A/D 01/29/2016.
- (11) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust IV U/A/D 2/4/2015.
- (12) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust V U/A/D 4/29/2015.
- (13) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VI U/A/D 8/5/2015.
- (14) Shares held of record by Jan Koum and BNY Mellon Trust of Delaware, Co-Trustees of The Jan Koum Trust VII U/A/D 10/20/2015.
- (15) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust I U/A/D 4/29/2014.
- (16) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust II U/A/D 8/5/2014.
- (17) Shares held of record by Jan Koum, Trustee of The Jan Koum Trust III U/A/D 10/9/2014.
- (18) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.

The RSUs vest as to (a) 13/60th of the total shares on November 15, 2015, (b) 1/20th of the total shares each quarter thereafter through November 15, 2017, (c) 2/20th of the total shares on each of February 15, 2018, May 15, 2018 and August 15, 2018 and (d) the final

- (19) 5/60th of the total shares on November 15, 2018; provided, however, that in the event of the reporting person's termination of employment with the issuer under certain circumstances, all of the reporting person's then unvested RSUs shall vest as of the date of such termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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