#### Edgar Filing: LUBYS INC - Form 4

| LUBYS IN<br>Form 4<br>August 13,<br><b>FORN</b><br>Check t<br>if no lon<br>subject<br>Section<br>Form 4<br>Form 5<br>obligati<br>may con<br><i>See</i> Inst<br>1(b). | 2015<br><b>A 4</b> UNITED<br>his box<br>nger<br>to<br>16.<br>or<br>Filed pur<br>Section 176 | MENT OF<br>rsuant to S<br>(a) of the 1  | Wa<br>F CHAI<br>Section<br>Public U | nshingt<br>NGES<br>SEC<br>16(a) or<br>Jtility H | in<br>SUI<br>f th<br>Hol | h, D.C. 205<br>BENEFI<br>RITIES<br>he Securiti            | 5 <b>49</b><br>CIAI<br>es Ex<br>pany  | L <b>OW</b><br>the content of the content of | COMMISSION<br>NERSHIP OF<br>e Act of 1934,<br>f 1935 or Sectio<br>0  | Nu<br>Ex<br>Es<br>bui<br>res   | ИВ<br>imber:<br>pires:<br>timatec               | l average<br>ours per   | 0287 |
|--|---|---|-------------------------------------|---|--------------------------|---|---|--|--|--------------------------------|---|---|------|
| (Print or Type   | Responses)  |   |                                     |   |                          |   |   |  |  |                                |   |   |      |
| 1. Name and<br>PAPPAS C  | 2. Issue<br>Symbol<br>LUBY  |   |                                     | d Ticker or T<br>UB]                            | Fradin                   | g   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                       |  |  |                                |   |   |      |
| (Last)   | (First) (   | (Che  |                                     |   |                          |   |   | ck all applicable)   |  |                                |   |   |      |
| 13111 NO<br>FREEWAY  | (Month/Day/Year)<br>08/12/2015  |   |                                     |   |                          |   | _X_ Director _X_ 10% Owner<br>_X_ Officer (give title Other (specify<br>below)<br>President and CEO |  |  |                                |   |   |      |
|  |   |   |                                     | endment<br>onth/Day/                            |                          | ate Original<br>ar)                                       |   |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_Form filed by One Reporting Person             |                                |   |   |      |
| HOUSTON, TX 77040  |   |   |                                     |   |                          |   |   |  | Form filed by More than One Reporting Person   |                                |   |   |      |
| (City)   | (State)   | (Zip)   | Tał                                 | ole I - No                                      | on-]                     | Derivative S  | ecuri   | ties Acq   | uired, Disposed o  | of, or H                       | Benefici  | ally Owned  | d    |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)   | action Date 2A. Deemed<br>Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |                                     |   | 8)                       | 4. Securitie<br>on(A) or Disp<br>(Instr. 3, 4 a<br>Amount | osed o  | of (D)   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Form<br>Direc<br>or Inc<br>(I) | Ownership<br>Form:<br>Direct (D)<br>or Indirect | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |      |
| Common<br>Stock  | 08/12/2015  |   |                                     | Code<br>P                                       | ·                        | 500   | A   | \$<br>4.89   | 3,986,889  | D                              |   |   |      |
| Common<br>Stock  | 08/12/2015  |   |                                     | Р   |                          | 143,868   | A   | \$ 4.9   | 4,130,757  | D                              |   |   |      |
| Common<br>Stock  |   |   |                                     |   |                          |   |   |  | 1,067,197  | Ι                              |   | By Papp<br>Restaura<br>Inc.                                       |      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

#### Edgar Filing: LUBYS INC - Form 4

# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>ofNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

### **Reporting Owners**

| <b>Reporting Owner Name / Addre</b>   | \$\$       | Relationships |                   |       |  |  |  |  |  |  |
|---|------------|---------------|-------------------|-------|--|--|--|--|--|--|
| Reporting O when Plane / Plane  | Director   | 10% Owner     | Officer           | Other |  |  |  |  |  |  |
| PAPPAS CHRISTOPHER JAN<br>13111 NORTHWEST FREEW<br>SUITE 600<br>HOUSTON, TX 77040 |            | Х             | President and CEO |       |  |  |  |  |  |  |
| Signatures  |            |               |                   |       |  |  |  |  |  |  |
| /s/ Christopher J.<br>Pappas  | 08/13/2015 |               |                   |       |  |  |  |  |  |  |
| <sup>**</sup> Signature of Reporting<br>Person                                    | Date       |               |                   |       |  |  |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.