3M CO Form 4 February 06, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * THULIN INGE G |                             |  | 2. Issuer Name and Ticker or Trading Symbol 3M CO [MMM] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|-----------------------------|--|---|--|--|--|
| (Last)  | (First) (Middle) 3. Date of |  | 3. Date of Earliest Transaction                         | (Check an applicable)  |  |  |
|   |                             |  | (Month/Day/Year)  | X Director 10% Owner   |  |  |
| 3M CENTER   |                             |  | 02/04/2014  | _X_ Officer (give title Other (special below) Chairman, President & CEO                              |  |  |
| (Street)  |                             |  | 4. If Amendment, Date Original                          | 6. Individual or Joint/Group Filing(Check  |  |  |
| ST. PAUL, MN 55144-1000                                 |                             |  | Filed(Month/Day/Year)                                   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                              | (Zip) Ta  | ble I - Non                            | -Derivative Sec                 | urities | s Acquired   | , Disposed of, or  | Beneficially   | Owned   |
|--------------------------------------|--------------------------------------|---|--|---------------------------------|---------|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Additional Amount | )       | d (A) or     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 02/04/2014                           |   | A                                      | 13,144.308<br>(1)               | A       | \$ 0         | 74,858.308   | D  |   |
| Common<br>Stock                      | 02/04/2014                           |   | F                                      | 5,185 <u>(1)</u>                | D       | \$<br>126.72 | 69,673.308   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: 3M CO - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                     | 7. Title and Amo<br>Underlying Secu<br>(Instr. 3 and 4) |                 |                |
|---|---|--------------------------------------|---|---|---------|--|---------------------|---|-----------------|----------------|
|   |   |                                      |   | Code V  | (A)     | (D)  | Date<br>Exercisable | Expiration<br>Date                                      | Title           | Ar<br>Nu<br>Sh |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 126.72   | 02/04/2014                           |   | A   | 206,168 |  | 02/04/2015          | 02/02/2024  | Common<br>Stock | 20             |

## **Reporting Owners**

| Reporting Owner Name / Address                        | Relationships |           |                           |       |  |  |
|---|---------------|-----------|---------------------------|-------|--|--|
| Reporting Owner Panie / Address                       | Director      | 10% Owner | Officer                   | Other |  |  |
| THULIN INGE G<br>3M CENTER<br>ST. PAUL, MN 55144-1000 | X             |           | Chairman, President & CEO |       |  |  |

## **Signatures**

George Ann Biros, attorney-in-fact for Inge G.
Thulin
02/06/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction reflects the imminent delivery of 13,144.308 shares of 3M Common Stock earned as the result of the vesting of the 2011 (1) performance shares awarded to the reporting person under the 3M Long-Term Incentive Plan. The number of shares withheld for taxes are estimated and will be revised by amendment, if necessary.
- (2) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/4/2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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