

AMERICAN EXPRESS CO
Form 3
January 10, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Heine Timothy J.
(Last) (First) (Middle)

200 VESEY STREET,Â AMERICAN EXPRESS TOWER
(Street)

NEW YORK,Â NYÂ 10285-5001
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
01/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
AMERICAN EXPRESS CO [AXP]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Acting General Counsel

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	29,887	D	Â
Common Stock	4,451	I	401(k) Plan ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	01/23/2007 ⁽²⁾	01/22/2016	Common Stock	23,100	\$ 51.865	D	Â
Employee Stock Option (Right to Buy)	01/25/2008 ⁽²⁾	01/24/2017	Common Stock	20,000	\$ 57.77	D	Â
Employee Stock Option (Right to Buy)	01/31/2009 ⁽²⁾	01/30/2018	Common Stock	24,000	\$ 49.13	D	Â
Employee Stock Option (Right to Buy)	01/26/2011 ⁽³⁾	01/25/2020	Common Stock	12,599	\$ 38.1	D	Â
Employee Stock Option (Right to Buy)	01/27/2012 ⁽³⁾	01/26/2021	Common Stock	10,777	\$ 44.54	D	Â
Phantom Stock Units	Â ⁽⁴⁾	Â ⁽⁴⁾	Common Stock	362	\$ ⁽⁵⁾	I	SRP ⁽⁶⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Heine Timothy J. 200 VESEY STREET AMERICAN EXPRESS TOWER NEW YORK, NY 10285-5001	Â	Â	Â Acting General Counsel	Â

Signatures

/s/ Michael G. Kuchs, attorney-in-fact 01/09/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in reporting person's account under the Company's Retirement Savings Plan. This plan uses unit accounting, and the number of shares that a participant is deemed to hold varies with the unit price of the Company pooled stock fund.
- (2) These options became exercisable in four equal annual installments beginning on date shown as "Date Exercisable."
- (3) These shares become exercisable in four equal annual installments beginning on date shown as "Date Exercisable."
- (4) The units are to be settled following the reporting person's retirement or other termination of service.
- (5) The phantom stock converts into common stock on a one-for-one basis.
- (6) The reported phantom stock units were acquired under the Company's Supplemental Retirement Plan.

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Remarks:

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Exhibit List

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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