#### Edgar Filing: HAVERTY FURNITURE COMPANIES INC - Form 4

#### HAVERTY FURNITURE COMPANIES INC

Form 4 July 08, 2013

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* MCGAUGHEY FRANK S III

(First)

2. Issuer Name and Ticker or Trading

Symbol

HAVERTY FURNITURE COMPANIES INC [HVT]

3. Date of Earliest Transaction

(Month/Day/Year)

780 JOHNSON FERRY RD., SUITE 07/03/2013

(Middle)

800

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Applicable Line)

\_X\_\_ Director

Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

ATLANTA, GA 30342-

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-I                           | Derivative                               | Secur  | ities Ac | quired, Disposed   | of, or Benefic   | ially Owned   |
|--------------------------------------|---|---|--|--|--------|----------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit on(A) or Di (D) (Instr. 3, 4) | sposed | of       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 07/03/2013                              |   | J <u>(1)</u>                           | 31,118                                   | A      | \$ 0     | 31,118   | I  | By Georgia<br>Limited<br>Partnership                              |
| Class A<br>Common<br>Stock           | 07/03/2013                              |   | J <u>(1)</u>                           | 31,118                                   | D      | \$0      | 77,392   | I  | By Georgia<br>Limited<br>Partnership                              |
| Common<br>Stock                      | 07/05/2013                              |   | J(2)                                   | 31,118                                   | D      | \$0      | 0  | I  | By Georgia<br>Limited<br>Partnership                              |
| Class A                              | 07/05/2013                              |   | J(3)                                   | 5,000                                    | D      | \$0      | 72,392   | I  | By Georgia  |

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| Common<br>Stock      |        |   | Limited<br>Partnership |
|----------------------|--------|---|------------------------|
| Common<br>Stock      | 8,515  | D |                        |
| Common<br>Stock      | 5,500  | I | By Spouse              |
| Class A Common Stock | 65,985 | D |                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5. forNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | nt of<br>lying                         | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|--------------------------------------|--|---------------------|--------------------|---|--|---|
|   |   |   | Code V                               | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| 1 0   | Director      | 10% Owner | Officer | Other |  |  |
| MCGAUGHEY FRANK S III<br>780 JOHNSON FERRY RD.<br>SUITE 800<br>ATLANTA, GA 30342- | X             |           |         |       |  |  |

## **Signatures**

| Jenny H. Parker,                |            |
|---------------------------------|------------|
| Attorney-in-Fact                | 07/05/2013 |
| **Signature of Reporting Person | Date       |

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converted shares of Class A Common Stock to shares of Common Stock.
- (2) Distribution of Common shares held by Georgia Limited Partnership of which Mr. McGaughey is general partner. Mr. McGaughey disclaims beneficial ownership of the shares except to the extent of his pecuniary interest in the partnership
- (3) Distribution of Class A Common shares held by Georgia Limited Partnership of which Mr. McGaughey is general partner. Mr. McGaughey disclaims beneficial ownership of the shares except to the extent of his pecuniary interest in the partnership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.