Howell Peyton R Form 4 November 17, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

0.5

January 31, Expires:

**OMB APPROVAL** 

2005

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

AMERISOURCEBERGEN CORP

3. Date of Earliest Transaction

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Howell Peyton R

(Last) (First)

1300 MORRIS DRIVE

(Street)

(Middle)

(Zip)

(Month/Day/Year) 11/15/2017

Symbol

[ABC]

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify

\_X\_\_ Officer (give title below) below)

**Executive Vice President** 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CHESTERBROOK, PA 19087

(State)

2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

(A)

Common Stock

(City)

1.Title of

Security

(Instr. 3)

44,462 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Non-qualified Stock Option (Right to Buy)	\$ 77.53	11/15/2017		A	36,017	<u>(1)</u>	11/15/2024	Common Stock	36,0
Restricted Stock Units	<u>(2)</u>	11/15/2017		A	4,385	<u>(3)</u>	(3)	Common Stock	4,38

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Howell Peyton R 1300 MORRIS DRIVE CHESTERBROOK, PA 19087

**Executive Vice President** 

## **Signatures**

John G. Chou for Peyton R.
Howell

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable in four equal annual installments on 11/15/18, 11/15/19, 11/15/20 and 11/15/21
- (2) Annual grant of restricted stock units that vests 100% on the third anniversary of the date of grant for no consideration.
- (3) Annual grant of restricted stock units that vests 100% on the third anniversary of the date of grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB number. er-right-width: 1; border-bottom-width: 1"> Stock Option \$ 32.4 (6)04/22/2015

Common Stock 194,235 D Stock Option \$ 21.8067 (7)04/22/2016 Common Stock 300,975

300,975 D Stock Option \$ 29.38 (8)04/28/2017 Common Stock 223,860 223,860 D

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Reporting Owners 2

#### Edgar Filing: Howell Peyton R - Form 4

HERMANCE FRANK S 1100 CASSATT ROAD P.O. BOX 1764 BERWYN, PA 19312-1177

#### X

CHAIRMAN OF THE BOARD & CEO

### **Signatures**

/s/ Frank S. Hermance

04/07/2011

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents withholding of shares to pay withholding taxes incurred in connection with performance vesting of restricted stock issued on April 23, 2009.
- (2) The stock options will become exercisable in four equal installments beginning on September 22, 2005.
- (3) The stock options will become exercisable in four equal installments beginning on April 27, 2006.
- (4) The stock options will become exercisable in four equal installments beginning on April 26, 2007.
- (5) The stock options will become exercisable in four equal installments beginning on April 24, 2008.
- (6) The stock options will become exercisable in four equal installments beginning on April 23, 2009.
- (7) The stock options will become exercisable in four equal annual installments beginning on April 23, 2010.
- (8) The stock options will become exercisable in four equal annual installments beginning on April 29, 2011.

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Reporting Owners 3