SANDS GREGORY P

Form 4 April 30, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SANDS GREGORY P | | | 2. Issuer Name and Ticker or Trading Symbol QUINSTREET, INC [QNST] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|---------|----------|--|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (===== an appneasie) | | | |
| 950 TOWER LANE, 6TH FLOOR | | | (Month/Day/Year) 04/26/2018 | X Director 10% Owner Officer (give title below) Other (specify below) | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| FOSTER CITY, CA 94404 | | | | Form filed by More than One Reporting Person | | | |

| (City) | (State) | (Zip) Tabl | e I - Non-E | Derivative So | ecuriti | ies Acqu | iired, Disposed of | , or Beneficial | ly Owned |
|--------------------------------------|---|---|---|--------------------|---------|-------------------|---|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | | 5. Amount of Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) | Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 04/26/2018 | | Code V M | Amount 139,643 (1) | (D) | Price \$ 3.52 (1) | 217,314 | D | |
| Common Stock | | | | | | | 6,785 | I | by Managed Account |
| Common Stock | | | | | | | 14,912 | I | by Son (3) |
| Common Stock | | | | | | | 224,761 | I | by Trust (4) |

Edgar Filing: SANDS GREGORY P - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amour Underlying Securit (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|--|--------|--|--------------------|--|---------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Sh |
| Non-Qualified Stock Option (right to buy) | \$ 2.7 | 04/26/2018 | | M | | 18,979 | <u>(5)</u> | 11/13/2023 | Common Stock | 18,9 |
| Non-Qualified Stock Option (right to buy) | \$ 2.99 | 04/26/2018 | | M | | 15,889 | <u>(5)</u> | 02/11/2023 | Common Stock | 15,8 |
| Non-Qualified Stock Option (right to buy) | \$ 3.14 | 04/26/2018 | | M | | 18,028 | <u>(5)</u> | 08/23/2023 | Common Stock | 18,0 |
| Non-Qualified Stock Option (right to buy) | \$ 3.16 | 04/26/2018 | | M | | 14,552 | <u>(5)</u> | 05/12/2023 | Common Stock | 14,5 |
| Non-Qualified Stock Option (right to buy) | \$ 3.21 | 04/26/2018 | | M | | 14,139 | <u>(5)</u> | 02/12/2024 | Common Stock | 14,1 |
| Non-Qualified Stock Option (right to buy) | \$ 3.67 | 04/26/2018 | | M | | 1,486 | <u>(5)</u> | 06/01/2023 | Common Stock | 1,4 |
| Non-Qualified Stock Option (right to buy) | \$ 3.76 | 04/26/2018 | | M | | 11,872 | <u>(5)</u> | 05/11/2024 | Common Stock | 11,8 |
| Non-Qualified Stock Option (right to buy) | \$ 4.31 | 04/26/2018 | | M | | 25,000 | (5) | 10/28/2021 | Common Stock | 25,0 |
| Non-Qualified Stock Option (right to buy) | \$ 4.33 | 04/26/2018 | | M | | 9,826 | (5) | 11/10/2021 | Common Stock | 9,8 |

M

Non-Qualified **Stock Option**

(right to buy)

\$ 4.55 04/26/2018 9,872

09/16/2021 (5)

Common Stock

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

SANDS GREGORY P 950 TOWER LANE, 6TH FLOOR X FOSTER CITY, CA 94404

Signatures

By: Gregory Wong For: Gregory Sands

04/30/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Sands exercised 139,643 options to purchase common stock at exercise prices between \$2.70 to \$4.55.
- Shares held by a charitable remainder unitrust of which the reporting person is the trustee. The reporting person disclaims beneficial **(2)** ownership in these shares except as to the reporting person's pecuniary interest therein.
- (3) Shares held by children of the reporting person. The reporting person disclaims beneficial ownership in these shares.
- Shares held by trust of which reporting person is a trustee. The reporting person disclaims beneficial ownership in these shares except as to the reporting person's pecuniary interest therein.
- (5) The shares of common stock subject to this option are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3