

Lewis Mark L  
 Form 3  
 November 20, 2012

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol   |  |
| Â Lewis Mark L                            |         | (Month/Day/Year)                     | ILLUMINA INC [ILMN]  |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|   |         | 10/25/2012                           |  |  |
| 5200 ILLUMINA WAY                         |         |                                      | (Check all applicable)   |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below) (specify below)<br>Sr VP & GM Molecular Biology |  |
| SAN DIEGO,Â CAÂ 92122                     |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)   |  |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 41,885 <sup>(1)</sup>                                    | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|--|--|
|   | Date Exercisable  | Expiration Date  | Title  | Amount or Number of  |  |

Edgar Filing: Lewis Mark L - Form 3

|   |                           |            |              | Shares |          | (I)<br>(Instr. 5) |   |
|---|---------------------------|------------|--------------|--------|----------|-------------------|---|
| Non-Qualified Stock Option (right to buy) | 12/15/2009 <sup>(2)</sup> | 12/15/2018 | Common Stock | 13,000 | \$ 21.37 | D                 | Â |
| Non-Qualified Stock Option (right to buy) | 02/27/2010 <sup>(3)</sup> | 01/27/2020 | Common Stock | 49,000 | \$ 37.04 | D                 | Â |
| Non-Qualified Stock Option (right to buy) | 02/28/2011 <sup>(3)</sup> | 01/31/2021 | Common Stock | 37,500 | \$ 69.34 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| Lewis Mark L<br>5200 ILLUMINA WAY<br>SAN DIEGO, CA 92122 | Â             | Â         | Â Sr VP & GM Molecular Biology | Â     |

## Signatures

By: Scott M. Davies For: Mark L. Lewis  
Date: 11/20/2012

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 17,791 unvested restricted stock units which vest as follows: 2,250 shares vest on 1/27/13; 833 shares vest on 1/31/13; 3,594 shares vest on 3/8/13; 2,625 shares vest on 1/27/14; 1,250 shares vest on 1/31/14; 3,594 shares vest on 3/8/14; 1,458 shares vest on 1/31/15; 1,094 shares vest on 3/8/15; 1,093 shares vest on 3/8/16; and 19,688 unvested performance stock units, which represent contingent right to receive one share of common stock based on the Company's earnings per share for fiscal year ending 12/28/14. Number of shares issued will range from 50% to 150% of 19,688 performance stock units, based on Company's actual earnings per share for fiscal year ending 12/28/14 relative to pre-defined objectives.
- (2) One-fourth (1/4) of the shares subject to the option become exercisable on the date indicated above, subject to optionee's continuing to be a service provider on such date. An additional one-forty eighth (1/48) of the shares subject to the option shall become exercisable each full month thereafter, subject to optionee's continuing to be a service provider on such date.
- (3) One forty-eighth (1/48) of the Shares subject to the Option become exercisable on the date indicated above, subject to Optionee's continuing to be a Service Provider on such date. An additional one-forty eighth (1/48) of the Shares subject to the Option shall become exercisable each full month thereafter, subject to Optionee's continuing to be a Service Provider on such date.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.