

Mullen Luciana
Form 3
June 28, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *	2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol
Â Mullen Luciana	(Month/Day/Year)	STREAMLINE HEALTH SOLUTIONS INC. [STRM]
(Last) (First) (Middle)	06/18/2018	
1230 PEACHTREE STREET,		4. Relationship of Reporting Person(s) to Issuer
NE, SUITE 600		5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)		
		(Check all applicable)
		☐ Director ☐ 10% Owner
		☒ Officer ☐ Other
		(give title below) (specify below)
ATLANTA,Â GAÂ 30309		Principal Accounting Officer
(City) (State) (Zip)		6. Individual or Joint/Group Filing(Check Applicable Line)
		☒ Form filed by One Reporting Person
		☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$0.01 Par Value	8,485	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	---	--	---	--

Edgar Filing: Mullen Luciana - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (1)	08/12/2022	Common Stock, \$0.01 Par Value	4,500	\$ 3.99	D	Â
Stock Option (right to buy)	Â (2)	07/07/2025	Common Stock, \$0.01 Par Value	5,000	\$ 2.58	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mullen Luciana 1230 PEACHTREE STREET, NE, SUITE 600 ATLANTA, GA 30309	Â	Â	Â Principal Accounting Officer	Â

Signatures

/s/ Luciana Mullen 06/28/2018

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vested and became exercisable in three substantially equal annual installments beginning on August 12, 2013.
The options vest and become exercisable in three substantially equal annual installments beginning on July 8, 2016 and are subject to a
- (2) remaining vesting date of July 8, 2018, provided that Ms. Mullen remains an employee of Streamline Health Solutions, Inc. through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.