#### NATURAL HEALTH TRENDS CORP

Form 4 May 08, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations **SECURITIES** 

Estimated average burden hours per response... 0.5

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**BROADY GEORGE K** 

Symbol

NATURAL HEALTH TRENDS CORP [NHTC]

(Check all applicable)

(Last) (First) (Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

\_X\_\_ Director Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

751 CANYON DRIVE, SUITE 100

(Street)

(State)

02/10/2014

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

COPPELL, TX 75019

(City)

(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acor(A) or Disposed (Instr. 3, 4 and 5		of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/10/2014		P P	Amount 2,100	(D)	\$ 4.44	412,418	I	See Footnote (1)
Common Stock	02/11/2014		P	20,000	A	\$ 4.5	432,418	I	See Footnote (1)
Common Stock	02/11/2014		P	8,500	A	\$ 4.7	440,918	I	See Footnote (1)
Common Stock	02/12/2014		P	15,200	A	\$ 4.55	456,118	I	See Footnote

								(1)
Common Stock	02/12/2014	P	3,479	A	\$ 4.45	459,597	I	See Footnote (1)
Common Stock	02/13/2014	P	10,171	A	\$ 4.55	469,768	I	See Footnote (1)
Common Stock	02/24/2014	P	10,806	A	\$ 4.45	480,574	I	See Footnote (1)
Common Stock	02/24/2014	P	2,944	A	\$ 4.35	483,518	I	See Footnote (1)
Common Stock	02/24/2014	P	2,750	A	\$ 4.2	486,268	I	See Footnote (1)
Common Stock	02/24/2014	P	200	A	\$ 4.38	486,468	I	See Footnote (1)
Common Stock	02/25/2014	P	9,760	A	\$ 4.7	496,228	I	See Footnote (1)
Common Stock	02/25/2014	P	870	A	\$ 4.55	497,098	I	See Footnote (1)
Common Stock	03/12/2014	P	300	A	\$ 4.45	497,398	I	See Footnote (1)
Common Stock	03/31/2014	P	3,200	A	\$ 4.95	500,598	I	See Footnote (1)
Common Stock	04/01/2014	P	16,800	A	\$ 4.95	517,398	I	See Footnote (1)
Common Stock	04/17/2014	P	10,000	A	\$ 5.45	527,398	I	See Footnote (1)
Common Stock	04/21/2014	P	10,000	A	\$ 5.46	537,398	I	See Footnote
Common Stock	04/25/2014	P	9,100	A	\$ 5.6	546,498	I	See Footnote (1)

Common Stock	04/25/2014	P	7,722	A	\$ 5.45	554,220	I	See Footnote
Common Stock	04/25/2014	P	900	A	\$ 5.59	555,120	I	See Footnote (1)
Common Stock	04/25/2014	P	178	A	\$ 5.4	555,298	I	See Footnote
Common Stock	04/28/2014	P	10,000	A	\$ 5.45	565,298	I	See Footnote
Common Stock	04/28/2014	P	10,000	A	\$ 5.5	575,298	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 3	ction 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)
			Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
BROADY GEORGE K 751 CANYON DRIVE, SUITE 100 COPPELL, TX 75019	X	X						

Reporting Owners 3

# **Signatures**

/s/ George K. 05/08/2014 Broady

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Through George K. Broady 2012 Irrevocable Trust, of which Mr. Broady is the trustee and a beneficiary.

#### **Remarks:**

Form 2 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4