Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4

NATURAL HEALTH TRENDS CORP

Form 4

November 13, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person * **BROADY GEORGE K**

(First)

751 CANYON DRIVE, SUITE 100

2. Issuer Name and Ticker or Trading

Symbol

NATURAL HEALTH TRENDS CORP [NHTC]

3. Date of Earliest Transaction

(Month/Day/Year) 08/01/2013

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB APPROVAL

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

_X__ 10% Owner _X__ Director __ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

COPPELL, TX 75019

(City)	(State) ((Zip) Table	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			of (D)	5. Amount of Securities Beneficially Owned Following	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/01/2013		P	10,902	A	\$ 1.1	2,985,583	D	
Common Stock	08/01/2013		P	8,000	A	\$ 1.12	2,993,583	D	
Common Stock	08/06/2013		P	9,900	A	\$ 1.08	3,003,483	D	
Common Stock	08/20/2013		P	28,100	A	\$ 1.4	3,031,583	D	
Common Stock	08/20/2013		P	7,300	A	\$ 1.3	3,038,883	D	

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Common Stock	08/21/2013	P	12,700	A	\$ 1.5	3,051,583	D
Common Stock	08/21/2013	P	10,100	A	\$ 1.41	3,061,683	D
Common Stock	08/21/2013	P	4,600	A	\$ 1.4	3,066,283	D
Common Stock	08/26/2013	P	14,166	A	\$ 1.55	3,080,449	D
Common Stock	08/26/2013	P	7,450	A	\$ 1.56	3,087,899	D
Common Stock	08/27/2013	P	11,434	A	\$ 1.47	3,099,333	D
Common Stock	08/28/2013	P	12,300	A	\$ 1.6	3,111,633	D
Common Stock	08/28/2013	P	6,100	A	\$ 1.49	3,117,733	D
Common Stock	08/28/2013	P	1,500	A	\$ 1.59	3,119,233	D
Common Stock	08/29/2013	P	3,000	A	\$ 1.56	3,122,233	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. orNumber of Derivative Securities Acquired	3	ate	Amount Underly Securition	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
BROADY GEORGE K 751 CANYON DRIVE, SUITE 100 COPPELL, TX 75019	X	X					

Signatures

/s/ George K.
Broady

**Signature of Reporting Person

11/13/2013

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3