Phillips Charles Form 4 March 19, 2013

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

Expires:

January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

| 1. Name and Address of Reporting Person * Phillips Charles |         |          | 2. Issuer Name and Ticker or Trading<br>Symbol<br>TIVO INC [TIVO] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |  |  |  |
|--|---------|----------|---|--|--|--|--|
| (Last)   | (First) | (Middle) | 3. Date of Earliest Transaction                                   | ` ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' ' '                                  |  |  |  |
| 2160 GOLD  | STREET  |          | (Month/Day/Year)<br>03/15/2013                                    | Director 10% Owner _X_ Officer (give title Other (specify below)  COO    |  |  |  |
| (Street)   |         |          | 4. If Amendment, Date Original Filed(Month/Day/Year)              | 6. Individual or Joint/Group Filing(Check Applicable Line)               |  |  |  |
| ALVISO, CA 95002   |         |          |   |  |  |  |  |
| (City)   | (State) | (Zip)    | Table I - Non-Derivative Securities Ac                            | quired, Disposed of, or Beneficially Owned                               |  |  |  |

|                                      |   | Table | : I - Noll-D                           | errvauve  | Secur            | mes Acq     | un eu, Disposeu oi   | , or benefician  | ly Owned  |
|--------------------------------------|---|-------|--|---|------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/Year) |       | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |       | Code V                                 | Amount  | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common<br>Stock                      | 03/15/2013  |       | F(1)                                   | 6,261   | D                | \$<br>12.65 | 244,178  | D  |   |
| Common<br>Stock                      | 03/15/2013  |       | F <u>(1)</u>                           | 5,074   | D                | \$<br>12.65 | 239,104  | D  |   |
| Common<br>Stock                      | 03/15/2013  |       | F(1)                                   | 4,510   | D                | \$<br>12.65 | 234,594  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

#### Edgar Filing: Phillips Charles - Form 4

number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title     | e and             | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|--------------|-------------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D  | ate         | Amour        | nt of             | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underl       | ying              | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit      | ties              | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. :    | 3 and 4)          |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |              |                   |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |              |                   |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |              |                   |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |              |                   |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |              |                   |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |              |                   |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount            |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |              | or<br>Name la sur |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Number |                   |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |               |             |              | of                |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | ,            | Shares            |             |        |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| Phillips Charles               |               |           |         |       |  |  |  |
| 2160 GOLD STREET               |               |           | COO     |       |  |  |  |
| ALVISO, CA 95002               |               |           |         |       |  |  |  |

# **Signatures**

By: Attorney-in-fact Sheryl Andersen For: Charles Dan Phillips

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares have been surrendered to the Company to pay taxes applicable to the vesting of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

03/18/2013

Reporting Owners 2