

BARNUM GREGORY T
Form 4
March 02, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BARNUM GREGORY T

(Last) (First) (Middle)

(Street)

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
LIME ENERGY CO. [LIME]

3. Date of Earliest Transaction
(Month/Day/Year)
02/27/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form
displays a currently valid OMB control
number.**

SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)

Edgar Filing: BARNUM GREGORY T - Form 4

	Derivative Security		Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares
			Code	V (A) (D)				
Option to Purchase Lime Energy Co. Common Stock	\$ 105	02/27/2009	J ⁽¹⁾	238	03/08/2006	03/07/2016	Lime Energy Co. Common Stock	238
Option to Purchase Lime Energy Co. Common Stock	\$ 3.66	02/27/2009	J ⁽²⁾	24	03/08/2006	03/07/2016	Lime Energy Co. Common Stock	24
Option to Purchase Lime Energy Co. Common Stock	\$ 105	02/27/2009	J ⁽¹⁾	238	03/08/2007	03/07/2016	Lime Energy Co. Common Stock	238
Option to Purchase Lime Energy Co. Common Stock	\$ 3.66	02/27/2009	J ⁽²⁾	24	03/08/2007	03/07/2016	Lime Energy Co. Common Stock	24
Option to Purchase Lime Energy Co. Common Stock	\$ 105	02/27/2009	J ⁽¹⁾	238	03/08/2008	03/07/2016	Lime Energy Co. Common Stock	238
Option to Purchase Lime Energy Co.	\$ 3.66	02/27/2009	J ⁽²⁾	23	03/08/2008	03/07/2016	Lime Energy Co. Common Stock	23

Common
StockOption to
PurchaseLime
Energy
Co.
Common
Stock

\$ 9.45

02/27/2009

J⁽¹⁾

3,571

01/03/2008

01/03/2018

Lime
Energy
Co.
Common
Stock

3,571

Option to
PurchaseLime
Energy
Co.
Common
Stock

\$ 3.66

02/27/2009

J⁽²⁾

1,786

01/03/2008

01/03/2018

Lime
Energy
Co.
Common
Stock

1,786

Option to
PurchaseLime
Energy
Co.
Common
Stock

\$ 9.45

02/27/2009

J⁽¹⁾

3,571

01/03/2009

01/03/2018

Lime
Energy
Co.
Common
Stock

3,571

Option to
PurchaseLime
Energy
Co.
Common
Stock

\$ 3.66

02/27/2009

J⁽²⁾

1,785

01/03/2009

01/03/2018

Lime
Energy
Co.
Common
Stock

1,785

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARNUM GREGORY T	X			

Signatures

Jeffrey Mistarz, by power of
attorney

03/02/2009

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Exchanged pursuant to the Lime Energy Option Exchange Tender Offer
- (2) Received in exchange for option tendered pursuant to Lime Energy Exchange Offer
- (3) Received new option in exchange for tendered option

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.