CYTOKINETICS INC

Form 4 March 02, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

7. Nature of

Indirect

Beneficial

Ownership (Instr. 4)

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * McDowell Caryn Gordon

2. Issuer Name and Ticker or Trading Symbol

CYTOKINETICS INC [CYTK]

Issuer

(Check all applicable)

(Last)

Stock

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

02/28/2017

Director 10% Owner X_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

below) GC & Chief Compliance Officer

(Street)

280 EAST GRAND AVENUE

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

SOUTH SAN FRANCISCO, CA 94080

> (City) (State) (Zip)

> > 02/28/2017

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

0.001

A Committee Acquired

15,000 A

1.11the of	2. Transaction Date	ZA. Deemed	3.	4. Securiu	es Acquirea	5. Amount of	0.
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Dis	posed of (D)	Securities	Ownership
(Instr. 3)		any	Code	(Instr. 3, 4	and 5)	Beneficially	Form: Direct
		(Month/Day/Year)	(Instr. 8)			Owned	(D) or
						Following	Indirect (I)
					(4)	Reported	(Instr. 4)
					(A)	Transaction(s)	
					or	(Instr. 3 and 4)	
			Code V	Amount	(D) Price		
Common	02/28/2017		٨	15 000	, \$	10 501 (1)	D

Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

18,581 (1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Incentive Stock Option (right to buy)	\$ 10.6	02/28/2017		A	6,425	(2)	02/28/2027	Common Stock	6,42
Non-Qualified Stock Option (right to buy)	\$ 10.6	02/28/2017		A	8,575	(2)	02/28/2027	Common Stock	8,57

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

McDowell Caryn Gordon 280 EAST GRAND AVENUE SOUTH SAN FRANCISCO, CA 94080

GC & Chief Compliance Officer

Signatures

By: Sharon A. Barbari For: Caryn McDowell

03/02/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three annual installments. Vested shares will be delivered to the reporting person as follows: 40% on 02/28/2018, 40% on 02/28/2019 and 20% on 02/28/2020.
- (2) When the ISO and NQ dated 02/28/2017 are combined, the total grant shall vest and become exercisable in equal monthly installments such that the option shall be 100% vested on 02/28/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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