#### Edgar Filing: CYTOKINETICS INC - Form 3

#### CYTOKINETICS INC

Form 3

December 05, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CYTOKINETICS INC [CYTK] **Â** Schnieders Elisabeth (Month/Day/Year) 12/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 280 EAST GRAND AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) **SOUTH SAN** Form filed by More than One Sr. VP, Business Development FRANCISCO, Â CAÂ 94080 Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 16,485 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a

currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable at (Instr. 4) Expiration Date (Month/Day/Year)		le and	3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	A	Derivative	Security:	
				Amount or Number of	Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Incentive Stock Option (right to buy)	04/05/2013(1)	03/05/2023	Common Stock	21,163	\$ 6	D	Â
Incentive Stock Option (right to buy)	04/05/2012(2)	03/05/2022	Common Stock	14,990	\$ 6.3	D	Â
Incentive Stock Option (right to buy)	03/23/2016(3)	02/23/2026	Common Stock	14,442	\$ 6.67	D	Â
Incentive Stock Option (right to buy)	03/26/2015(4)	02/26/2025	Common Stock	11,043	\$ 7.96	D	Â
Incentive Stock Option (right to buy)	03/28/2011(5)	02/28/2021	Common Stock	12,500	\$ 9.42	D	Â
Incentive Stock Option (right to buy)	03/24/2014(6)	02/24/2024	Common Stock	18,419	\$ 9.65	D	Â
Incentive Stock Option (right to buy)	03/26/2009(7)	02/26/2019	Common Stock	3,866	\$ 11.1	D	Â
Incentive Stock Option (right to buy)	03/24/2010(8)	02/24/2020	Common Stock	8,666	\$ 18.48	D	Â
Incentive Stock Option (right to buy)	03/29/2008(9)	02/28/2018	Common Stock	2,500	\$ 20.22	D	Â
Incentive Stock Option (right to buy)	04/14/2007(10)	03/14/2017	Common Stock	2,000	\$ 40.86	D	Â
Non-Qualified Stock Option (right to buy)	04/05/2013(1)	03/05/2023	Common Stock	3,836	\$ 6	D	Â
Non-Qualified Stock Option (right to buy)	04/05/2012(2)	03/05/2022	Common Stock	9	\$ 6.3	D	Â
Non-Qualified Stock Option (right to buy)	03/23/2016(3)	02/23/2026	Common Stock	20,558	\$ 6.67	D	Â
Non-Qualified Stock Option (right to buy)	03/26/2015(4)	02/26/2025	Common Stock	18,957	\$ 7.96	D	Â
Non-Qualified Stock Option (right to buy)	03/24/2014(6)	02/24/2024	Common Stock	11,581	\$ 9.65	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	rirector 10% Owner Officer		Other	
Schnieders Elisabeth					
280 EAST GRAND AVENUE	Â	Â	Sr. VP, Business Development	Â	
SOUTH SAN FRANCISCO Â CAÂ 94080					

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### **Signatures**

Elisabeth Schnieders 12/05/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) When the ISO and NQ dated 03/05/2013 are combined for a total grant of 24,999 shares, the option shall vest and become exercisable as to 24,999 shares divided into equal monthly installments such that the option shall be 100% vested on 03/05/2017.
- When the ISO and NQ dated 03/05/2012 are combined for a total grant of 14,999 shares, the option vested and became exercisable as to 14,999 shares divided into equal monthly installments such that the option was 100% vested on 03/05/2016.
- (3) When the ISO and NQ dated 02/23/2016 are combined for a total grant of 35,000 shares, the option shall vest and become exercisable as to 35,000 shares divided into equal monthly installments such that the option shall be 100% vested on 02/23/2020.
- (4) When the ISO and NQ dated 02/26/2015 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 30,000 shares divided into equal monthly installments such that the option shall be 100% vested on 02/26/2019.
- (5) This option vested and became exercisable as to 12,500 shares divided into equal monthly installments and became fully vested on 02/28/2015.
- When the ISO and NQ dated 02/24/2014 are combined for a total grant of 30,000 shares, the option shall vest and become exercisable as to 30,000 shares divided into equal monthly installments such that the option shall be 100% vested on 02/24/2018.
- (7) This option vested and became exercisable as to 3,866 shares divided into equal monthly installments such that the option was 100% vested on 02/26/2013.
- (8) This option vested and became exercisable as to 8,666 shares divided into equal monthly installments such that the option was 100% vested on 02/24/2014.
- (9) This option vested and became exercisable as to 2,500 shares divided into equal monthly installments and became fully vested on  $\frac{02}{29/2012}$ .
- (10) This option vested and became exercisable as to 2,000 shares divided into equal monthly installments and became fully vested on 03/01/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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