

LABRANCHE & CO INC  
Form 8-K  
April 03, 2003

[QuickLinks](#) -- Click here to rapidly navigate through this document

---

---

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

---

### FORM 8-K

---

#### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) **March 31, 2003**

### LaBRANCHE & CO INC.

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-15251**  
(Commission File Number)

**13-4064735**  
(IRS Employer  
Identification No.)

**One Exchange Plaza, New York, New York, 10006** (Address of  
principal executive offices)

**10006**  
(Zip Code)

Registrant's telephone number, including area code: **(212) 425-1144**

**Not Applicable**

(Former name or former address, if changed since last report)

---

---

---

#### Item 7. Financial Statements, Pro Forma Financial Information and Exhibits.

(a) Financial Statements of Business Acquired.

None.

(b) Pro Forma Financial Information.

None.

(c)

Edgar Filing: LABRANCHE & CO INC - Form 8-K

Exhibits

99.1

Press Release issued by LaBranche & Co Inc. dated March 31, 2003.

**Item 9. Regulation FD Disclosure (Information Furnished in this Item 9 is Furnished under Item 12, "Disclosure of Results of Operations and Financial Condition").**

On March 31, 2003, LaBranche & Co Inc. issued a press release announcing its expected financial results for the first quarter of 2003 and announcing the election of Donald Kiernan to its Board of Directors. The information set forth in the press release issued by LaBranche & Co Inc., attached hereto as Exhibit 99.1, is incorporated herein by reference. This information is being furnished under Item 12, "Disclosure of Results of Operations and Financial Condition."

*Limitation on Incorporation by Reference*

In accordance with General Instruction B.2 and B.6 of Form 8-K, the information in this report shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Securities Exchange Act of 1934, except as shall be expressly set forth by specific reference in such a filing.

All other Items of this report are inapplicable.

2

---

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LABRANCHE & CO INC.

Date: April 2, 2003

By: /s/ HARVEY S. TRAISSON

---

Name: Harvey S. Traisson  
Title: Senior Vice President and Chief Financial Officer

3

---

**EXHIBIT INDEX**

<b>Exhibit No.</b>	<b>Description of Exhibit</b>
99.1	Press Release issued by LaBranche & Co Inc. dated March 31, 2003.

---

QuickLinks

[Item 7. Financial Statements, Pro Forma Financial Information and Exhibits.](#)

[Item 9. Regulation FD Disclosure \(Information Furnished in this Item 9 is Furnished under Item 12, "Disclosure of Results of Operations and Financial Condition"\).](#)

[SIGNATURES](#)

EXHIBIT INDEX