### Edgar Filing: MULTIBAND CORP - Form 3

#### MULTIBAND CORP

Form 3

February 08, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

3235-0104

0.5

9 /

Number: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MULTIBAND CORP [MBND] MARXE AUSTIN W & (Month/Day/Year) GREENHOUSE DAVID M 01/31/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O SPECIAL SITUATIONS (Check all applicable) FUNDS. 527 MADISON **AVENUE, SUITE 2600** \_\_X\_\_ 10% Owner Director (Street) Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person NEW YORK, NYÂ 10022 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) Common Stock I (1) 2,418,494 (1) By Limited Partnerships (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

### Edgar Filing: MULTIBAND CORP - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Convertible Preferred	01/31/2007	(2)	Common Stock	2,633,333 (1)	\$ 1.5	I (1)	By Limited Partnerships (1)
Warrants	01/31/2007	02/03/2008	Common Stock	2,857,143 (1)	\$ 1.575	I (1)	By Limited Partnerships (1)
Warrants	01/31/2007	02/03/2008	Common Stock	2,857,143 (1)	\$ 1.725	I (1)	By Limited Partnerships (1)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MARXE AUSTIN W & GREENHOUSE DAVID M C/O SPECIAL SITUATIONS FUNDS 527 MADISON AVENUE, SUITE 2600 NEW YORK, NYÂ 10022

 $\hat{A} \qquad \hat{A} \quad X \quad \hat{A} \qquad \hat{A}$ 

### **Signatures**

Austin W. 02/08/2007 Marxe

\*\*Signature of Date

Reporting Person

David M. O2/08/2007 Greenhouse

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This is a joint filing by Austin W. Marxe (Marxe) and David M. Greenhouse (Greenhouse). They share voting and investment control over all securities owned by Special Situations Fund III QP, L.P. (QP). 2,418,494 shares of Common Stock, 5,714,286 Warrants and 39,500 Preferred Stk convertible into 2,633,333 shares of Common Stock are owned by QP. The interest of Marxe and Greenhouse in the shares of Common Stock, Preferred and Warrants owned by QP is limited to the extent of his pecuniary interest.

(2) None

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2