

Rinaldi John W
Form 3
April 06, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Rinaldi John W | | (Month/Day/Year) | PACIFIC PREMIER BANCORP INC [PPBI] | |
| (Last) | (First) | (Middle) | 03/27/2018 | |
| 17901 VON KARMAN AVE., SUITE 1200 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| IRVINE, CA 92614 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | President of Franchise Lending | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| PPBI Common Stock | 15,000 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|------------------------------|------------------|-----------------|-------------------|----------------------------|----------|----------------------------|---|
| Options on PPBI Common Stock | Â (2) | 01/31/2024 | PPBI Common Stock | 10,000 | \$ 15.87 | D | Â |
| Options on PPBI Common Stock | Â (3) | 01/28/2025 | PPBI Common Stock | 5,000 | \$ 15.16 | D | Â |
| Options on PPBI Common Stock | Â (4) | 01/05/2026 | PPBI Common Stock | 25,000 | \$ 20.42 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Rinaldi John W 17901 VON KARMAN AVE. SUITE 1200 IRVINE, CA 92614 | Â | Â | Â President of Franchise Lending | Â |

Signatures

John Rinaldi 04/06/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Includes 10,000 shares of restricted stock granted on 12/12/2016 and 5,000 shares of restricted stock granted on 2/28/2018. Each
- (1) restricted stock grant is subject to a three-year vesting schedule and to forfeiture upon the occurrence of certain events, in each case as specified in the applicable award agreement.
 - (2) The option vests in three equal annual installments beginning on 1/31/2015.
 - (3) The option vests in three equal annual installments beginning on 1/28/2016.
 - (4) The option vests in three equal annual installments beginning on 1/05/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.