### Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

#### UNITED TECHNOLOGIES CORP /DE/

Form 4

October 27, 2006

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

3235-0287

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

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1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * HAYES GREGORY			2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX]				I	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)  UNITED TECHNOLOGIES  CORPORATION, ONE  FINANCIAL PLAZA			3. Date of Earliest Transaction (Month/Day/Year) 10/26/2006					Director 10% Owner Officer (give title Other (specify below) VP, Accounting and Finance			
HARTFOR	Filed(Month/Day/Year)				- -	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially C							ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)			Date, if Transactiom Disposed of (D Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8)  (A) or		(D)	Securities Ownership Beneficially Form: Owned Direct (D)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/26/2006			Code V M	Amount 15,800	(D)	Price \$ 32.17	15,800	D		
Common Stock	10/26/2006			M	20,000	A	\$ 31.705	35,800	D		
Common Stock	10/26/2006			S	5,800	D	\$ 65.05	30,000	D		
Common Stock	10/26/2006			S	10,000	D	\$ 65.02	20,000	D		
	10/26/2006			S	14,000	D	\$ 65	6,000	D		

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Common Stock								
Common Stock	10/26/2006	S	3,000	D	\$ 65.03	3,000	D	
Common Stock	10/26/2006	S	3,000	D	\$ 65.07	0	D	
Common Stock						1,868.639	I	By Savings Plan Trustee
Common Stock						874	I	By Spouse
Common Stock						996.799	I	By Spouse's Savings Plan Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 32.17	10/26/2006		M	15,800	01/02/2005	01/01/2012	Common Stock	15
Non-Qualified Stock Option (right to buy)	\$ 31.705	10/26/2006		M	20,000	01/02/2006	01/01/2013	Common Stock	20

SEC 1474

(9-02)

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

HAYES GREGORY UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101

VP, Accounting and Finance

Other

## **Signatures**

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

10/27/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3