

NATUS MEDICAL INC
Form SC 13G/A
February 10, 2003

OMB APPROVAL
OMB Number: 3235-0145
Expires: November 30, 2002
Estimated average burden
hours per response 11

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 1)*

Natus Medical Incorporated

(Name of Issuer)

Common Stock

(Title of Class of Securities)

639050 10 3

(CUSIP Number)

December 31, 2002

Edgar Filing: NATUS MEDICAL INC - Form SC 13G/A

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

CUSIP No. 639050 10 3

1. Name of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only)

William New, Jr.

2. Check the Appropriate Box if a Member of a Group (See Instructions)

(a)

(b)

3. SEC Use Only

4. Citizenship or Place of Organization

United States of America

Number Of 5. Sole Voting Power

Shares

Beneficially 1,034,201*

Owned By 6. Shared Voting Power

Each

Reporting 0

Person With 7. Sole Dispositive Power

1,034,201*

8. Shared Dispositive Power

0

9. Aggregate Amount Beneficially Owned by Each Reporting Person:

1,034,201*

10. Check the Aggregate Amount in Row (9) Excludes Certain Shares (see Instructions):

11. Percent of Class Represented by Amount in Row (9)

6.35%

12. Type of Reporting Person (See Instructions)

IN

* See Item 4 of this Schedule 13G

Item 1.

- (a) Name of Issuer: Natus Medical Incorporated
- (b) Address of Issuer's Principal Executive Offices:

1501 Industrial Road

San Carlos, CA 94070

Item 2.

- (a) Name of Person Filing: William New, Jr.
- (b) Address of Principal Business Office or, if none, Residence:

William New, Jr.

c/o Natus Medical Incorporated

1501 Industrial Road

San Carlos, CA 94070
- (c) Citizenship: United States of America
- (d) Title of Class of Securities: Common Stock
- (e) CUSIP Number: 639050 10 3

Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not Applicable

Item 4. Ownership

Edgar Filing: NATUS MEDICAL INC - Form SC 13G/A

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owed: 1,034,201 shares of common stock (consists of stock options vested and exercisable within 60 days after December 31, 2002 which give the reporting person the right to acquire 8,750 shares of common stock)
- (b) Percent of class: 6.35%
- (c) Number of shares as to which the person has:
 - (i) Sole power to vote or to direct the vote: 1,034,201
 - (ii) Shared power to vote or to direct the vote: 0
 - (iii) Sole power to dispose or to direct the disposition of: 1,034,201
 - (iv) Shared power to dispose or to direct the disposition of: 0

Instruction. For computations regarding securities which represent a right to acquire an underlying security *see* §240.13d3(d)(1).

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following "X".

Instruction: Dissolution of a group requires a response to this item.

Item 6. Ownership of More than Five Percent on Behalf of Another Person

Not Applicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent
Holding Company or Control Person**

Not Applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of Group

Not Applicable

Item 10. Certification

Not Applicable

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 7, 2003

Edgar Filing: NATUS MEDICAL INC - Form SC 13G/A

Date

/s/ William New, Jr.

Signature

William New, Jr.

Name/Title

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement; provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

NOTE: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties for whom copies are to be sent.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001)