

TOMPKINS FINANCIAL CORP
Form 4
April 30, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BOYCE DAVID S

(Last) (First) (Middle)

TOMPKINS FINANCIAL CORPORATION, P O BOX 460

(Street)

ITHACA, NY 14851

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TOMPKINS FINANCIAL CORP [TMP]

3. Date of Earliest Transaction (Month/Day/Year)
04/28/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Pres.&CEO,Tompkins Ins. Agency

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock	04/28/2008		M		300 A \$ 39.34	10,428	D
Common Stock	04/28/2008		S		300 D \$ 48.78	10,128	D
Common Stock	04/28/2008		M		200 A \$ 39.34	10,328	D
Common Stock	04/28/2008		S		200 D \$ 48.9	10,128	D
Common Stock	04/28/2008		M		200 A \$ 39.34	10,328	D

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

Common Stock	04/28/2008	S	200	D	\$ 48.7	10,128	D	
Common Stock	04/28/2008	M	100	A	\$ 39.34	10,228	D	
Common Stock	04/28/2008	S	100	D	\$ 48.65	10,128	D	
Common Stock	04/28/2008	M	200	A	\$ 39.34	10,328	D	
Common Stock	04/28/2008	S	200	D	\$ 48.3	10,128	D	
Common Stock						2	I	by Daughter
Common Stock						2	I	by Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 28.36	04/28/2008		M	2,200	⁽¹⁾ 07/24/2011	Common Stock	2,200
Incentive Stock Option (right to buy)	\$ 28.36	04/28/2008		M	100	⁽¹⁾ 07/24/2011	Common Stock	100

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

Incentive Stock Option (right to buy)	\$ 28.36	04/28/2008	M	30	<u>(1)</u>	07/24/2011	Common Stock	30	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	3,500	<u>(1)</u>	09/30/2012	Common Stock	3,500	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	1,301	<u>(1)</u>	09/30/2012	Common Stock	1,301	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	800	<u>(1)</u>	09/30/2012	Common Stock	800	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	400	<u>(1)</u>	09/30/2012	Common Stock	400	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	400	<u>(1)</u>	09/30/2012	Common Stock	400	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	200	<u>(1)</u>	09/30/2012	Common Stock	200	\$
Incentive Stock Option (right to buy)	\$ 32.23	04/28/2008	M	54	<u>(1)</u>	09/30/2012	Common Stock	54	\$
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	15	<u>(1)</u>	05/03/2014	Common Stock	15	\$
	\$ 39.34	04/28/2008	M	100	<u>(1)</u>	05/03/2014		100	\$

Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

Incentive Stock Option (right to buy)								Common Stock		
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	100	<u>(1)</u>	05/03/2014	Common Stock	100	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	100	<u>(1)</u>	05/03/2014	Common Stock	100	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	200	<u>(1)</u>	05/03/2014	Common Stock	200	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	300	<u>(1)</u>	05/03/2014	Common Stock	300	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	200	<u>(1)</u>	05/03/2014	Common Stock	200	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	200	<u>(1)</u>	05/03/2014	Common Stock	200	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	100	<u>(1)</u>	05/03/2014	Common Stock	100	\$	
Incentive Stock Option (right to buy)	\$ 39.34	04/28/2008	M	200	<u>(1)</u>	05/03/2014	Common Stock	200	\$	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BOYCE DAVID S TOMPKINS FINANCIAL CORPORATION P O BOX 460 ITHACA, NY 14851			Pres.&CEO,Tompkins Ins. Agency	

Signatures

David S. Boyce 04/30/2008

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option becomes exercisable in four installments, commencing two years after the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.